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COMMITTEE ON THE JUDICIARY

WASHINGTON, DC 20510-6275

BRUCE A. COHEN, *Chief Counsel and Staff Director*  
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May 14, 2012

Noelle Maloney  
Acting Inspector General  
U.S. Securities and Exchange Commission  
Washington, DC 20549

Dear Acting Inspector General Maloney:

According to public reports, a firm called AT-RISK International conducted a security threat evaluation regarding your Assistant Inspector General for Investigations. During a meeting with me last week, Chairman Mary Schapiro and other senior commission staff represented that this security review was conducted by an "independent firm." I understand there was a contractual relationship between the Securities and Exchange Commission (SEC) and AT-RISK International prior to the recent threat evaluation of your Assistant Inspector General.

In order to better understand the context of this threat evaluation, please respond to the following:

1. Did the Office of Inspector General (OIG) have any previous or ongoing work related to AT-RISK's contract with the SEC or its relationship with SEC's Chief of Security William Fagan?
2. Please produce copies of all OIG reports, whether final or draft, that mention AT-RISK or Mr. Fagan.

Thank you for your cooperation and attention in this matter. I would appreciate a response by May 18, 2012. If you have any questions, please do not hesitate to contact Chris Lucas for the Committee on the Judiciary at (202) 224-5225.

Sincerely,



Charles E. Grassley  
Ranking Member  
Committee on the Judiciary