

NARSAD

The Brain and Behavior Research Fund

December 8, 2009

Via Electronic Transmission

Senator Charles E. Grassley
Ranking Member
United States Senate Committee on Finance
219 Dirksen Senate Office Building
Washington, DC 20510-6200

Dear Mr. Grassley:

As per your letter dated December 7, 2009, attached is an accounting of industry funding (as defined by your letter) that pharmaceutical, medical device companies, foundations established by these companies or the insurance industry have provided to NARSAD for the period covering January 1, 2006 to the present. For each year, we have prepared a chart identifying the year, name of company, amount of funding, and reason that the funding was provided.

In addition, we are responding to your questions, from the same letter noted above, for the period covering January 1, 2006 to the present. As requested, we are first repeating your enumerated question followed by the appropriate answer.

1. Please describe the policies for accepting industry funding and whether or not NARSAD allows companies to place restrictions or provide guidance on how funding will be spent.

Answer: NARSAD's mission is to support research on mental illnesses. We fund research by scientists and we do not use industry funding to support our scientists' work, although these funds do contribute to our educational programs. NARSAD solicits industry funding for our educational programs but does not allow companies to place restrictions or provide guidance on how funding will be spent.

2. If NARSAD allows companies to place restrictions on industry funding, then please explain all restrictions and/or guidance for each transfer of value from industry. For every transfer of value with a restriction, please provide the following information: year of transfer, name of company, and restriction placed on funding.

Answer: NARSAD solicits industry funding for our educational programs but does not allow companies to place restrictions or provide guidance on how funding will be spent.

3. Please explain what policies, if any that NARSAD plans to adopt to ensure transparency of funding in order to provide a greater public trust in the independence of your organization.

Answer: NARSAD already lists contributors of \$1,000 and above on the organization's annual report which is available on our website and as a publication.

4. Please explain your policies on disclosure of outside income by your top executives and board members.

Answer: NARSAD has policies dealing with the code of ethical conduct, conflicts of interest and whistleblower protection in place.

Leading the Search for Cures

5. Please provide the disclosures of outside income filed with your organizations by your top executives and board members.

Answer: NARSAD is instituting a conflict of interest disclosure form to be completed annually by board members and employees.

We are pleased to be cooperating with the United States Senate Committee on Finance's review. If you have any questions, please contact me or Louis Innamorato, NARSAD's Chief Financial Officer.

Sincerely,

A handwritten signature in cursive script that reads "Benita Shobe /cp".

Benita Shobe
President and CEO

Attachments

cc: Stephen Lieber, Chairman, NARSAD

NARSAD**Pharmaceutical, medical device corporations and related foundations****Contributions for the period 1/1/2009 - 12/7/2009**

<u>Constituent Name</u>	<u>Date</u>	<u>Amount</u>	<u>Description</u>
Amgen Pac-Matching Gift Program	3/24/2009	\$329.72	Matching gift-Employer portion
	4/21/2009	\$50.00	Matching gift-Employer portion
Eli Lilly & Company Foundation, Inc.- Matching Gifts Program	6/29/2009	\$500.00	Matching gift-Employer portion
Eli Lilly and Company	10/9/2009	\$30,000.00	09 New York Symposium
Johnson & Johnson - Matching Gifts	4/15/2009	\$920.00	Matching gift-Employer portion
Neuronetics, Inc.	10/28/2009	\$1,200.00	09 New York Gala
Pfizer Incorporated	10/2/2009	\$50,000.00	09 New York Gala
	10/8/2009	\$50,000.00	09 New York Symposium
Pfizer Matching Gift Center	2/4/2009	\$100.00	Matching gift-Employer portion
Sanofi-Aventis	4/27/2009	\$700.00	Matching gift-Employer portion
Wellpoint Associate Giving Campaign	2/4/2009	\$40.38	Matching gift-Employer portion
	4/17/2009	\$18.23	Matching gift-Employer portion
	4/17/2009	\$30.00	Matching gift-Employer portion
	7/27/2009	\$63.81	Matching gift-Employer portion
	7/27/2009	\$105.00	Matching gift-Employer portion
	10/28/2009	\$90.00	Matching gift-Employer portion
	10/28/2009	\$54.69	Matching gift-Employer portion
Total		<u>\$134,201.83</u>	

NARSAD**Pharmaceutical, medical device corporations and related foundations****Contributions for the period 1/1/2008 - 12/31/2008**

<u>Constituent Name</u>	<u>Date</u>	<u>Amount</u>	<u>Description</u>
Amgen Pac-Matching Gift Program	3/7/2008	\$286.06	Matching gift-Employer portion
AstraZeneca Pharmaceuticals	2/15/2008	\$2,000.00	08 Palm Beach Gala
Bristol-Myers Squibb Company	4/9/2008	\$7,500.00	08 Palm Beach Gala
Cyberonics Inc.	4/2/2008	\$1,000.00	08 Washington, DC Gala
Eli Lilly & Company Foundation, Inc.- Matching Gifts Program	11/24/2008	\$80.00	Matching gift-Employer portion
Eli Lilly and Company	3/6/2008	\$5,000.00	08 Palm Beach Gala
	12/8/2008	\$15,000.00	08 New York Symposium
Forest Pharmaceutical	6/30/2008	\$50,000.00	08 New York Gala
Janssen Pharmaceutica Prod, LP	10/29/2008	\$15,000.00	08 HMAA Symposium
	12/29/2008	\$15,000.00	08 New York Gala
Neuronetics, Inc.	10/6/2008	\$300.00	08 New York Gala
	10/20/2008	\$600.00	08 New York Gala
	10/20/2008	\$600.00	08 New York Gala
	10/20/2008	\$600.00	08 New York Gala
	10/20/2008	\$600.00	08 New York Gala
	11/25/2008	\$3,000.00	Tribute gift
Pfizer Incorporated	12/23/2008	\$100,000.00	08 HMAA Symposium
Pfizer Matching Gift Center	2/5/2008	\$200.00	Matching gift-Employer portion
	3/6/2008	\$96.70	Matching gift-Employer portion
	8/14/2008	\$200.00	Matching gift-Employer portion
	9/4/2008	\$50.00	Matching gift-Employer portion
Sanofi-Aventis	3/10/2008	\$150.00	Matching gift-Employer portion
	7/30/2008	\$250.00	Matching gift-Employer portion
Wellpoint Associate Giving Campaign	2/27/2008	\$103.38	Matching gift-Employer portion
	6/4/2008	\$68.73	Matching gift-Employer portion
	8/8/2008	\$58.92	Matching gift-Employer portion
	10/24/2008	\$50.82	Matching gift-Employer portion
Wyeth-Ayerst Pharmaceuticals	3/13/2008	\$10,000.00	08 New York Gala
	3/13/2008	\$10,000.00	08 Washington, DC Gala
	3/13/2008	\$10,000.00	08 Los Angeles Gala
Total		<u>\$247,794.61</u>	

NARSAD**Pharmaceutical, medical device corporations and related foundations****Contributions for the period 1/1/2007 - 12/31/2007**

<u>Constituent Name</u>	<u>Date</u>	<u>Amount</u>	<u>Description</u>
Amgen Foundation	10/25/2007	\$10,000.00	07 Los Angeles Gala
Amgen Pac-Matching Gift Program	3/9/2007	\$277.54	Matching gift-Employer portion
	12/13/2007	\$50.00	Matching gift-Employer portion
	12/31/2007	\$50.00	Matching gift-Employer portion
AstraZeneca Pharmaceuticals	9/11/2007	\$25,000.00	07 New York Gala
Biotechnology Industry Organization	1/17/2007	\$1,000.00	07 Washington, DC Gala
Bristol-Myers Squibb Company	2/28/2007	\$10,000.00	07 Washington, DC Gala
	10/1/2007	\$50,000.00	07 New York Gala
Eli Lilly & Company Foundation, Inc.- Matching Gifts Program	4/24/2007	\$505.00	Matching gift-Employer portion
	11/28/2007	\$75.00	Matching gift-Employer portion
Eli Lilly and Company	3/12/2007	\$15,000.00	07 Palm Beach
	10/16/2007	\$15,000.00	07 New York Symposium
Forest Pharmaceutical	9/7/2007	\$50,000.00	07 New York Gala
Janssen Pharmaceutica Prod, LP	9/20/2007	\$15,000.00	07 New York Gala
	12/26/2007	\$15,000.00	08 New York Gala
	12/26/2007	\$10,000.00	08 Washington, DC Gala
Johnson & Johnson - Matching Gifts	6/6/2007	\$400.00	Matching gift-Employer portion
Neuronetics, Inc.	9/4/2007	\$3,000.00	Tribute gift
	12/27/2007	\$600.00	General gift
Otsuka America Pharmaceutical, Inc.	2/8/2007	\$5,000.00	07 Washington, DC Gala
Pfizer Matching Gift Center	2/8/2007	\$125.00	Matching gift-Employer portion
Science Applications International Corporation	7/26/2007	\$10,000.00	07 Washington, DC Gala
Vanda Pharmaceuticals Inc.	3/2/2007	\$5,000.00	07 Washington, DC Gala
Wellpoint Associate Giving Campaign	2/8/2007	\$10.50	Matching gift-Employer portion
	5/3/2007	\$88.62	Matching gift-Employer portion
	8/10/2007	\$34.46	Matching gift-Employer portion
	11/9/2007	\$88.62	Matching gift-Employer portion
Wyeth-Ayerst Pharmaceuticals	9/10/2007	\$15,000.00	07 New York Gala
	12/13/2007	\$5,000.00	07 Los Angeles Gala
Total		<u>\$261,304.74</u>	

NARSAD**Pharmaceutical, medical device corporations and related foundations****Contributions for the period 1/1/2006 - 12/31/2006**

<u>Constituent Name</u>	<u>Date</u>	<u>Amount</u>	<u>Description</u>
Amgen Pac-Matching Gift Program	3/9/2006	\$50.00	Matching gift-Employer portion
	3/29/2006	\$263.94	Matching gift-Employer portion
	10/2/2006	\$100.00	Matching gift-Employer portion
AstraZeneca Pharmaceuticals	4/24/2006	\$50,000.00	06 New York Gala
	9/26/2006	\$3,000.00	07 Palm Beach Gala
	12/18/2006	\$2,500.00	07 New York Piano Recital
Bristol-Myers Squibb Company	3/9/2006	\$10,000.00	06 Palm Beach Gala
	4/28/2006	\$10,000.00	06 Los Angeles Gala
	9/11/2006	\$50,000.00	06 New York Gala
Cyberonics Inc.	9/27/2006	\$10,000.00	06 New York Gala
Eli Lilly & Company Foundation, Inc.- Matching Gifts Program	10/23/2006	\$75.00	Matching gift-Employer portion
Eli Lilly and Company	2/7/2006	\$10,000.00	06 Palm Beach Gala
	10/31/2006	\$15,000.00	06 New York Symposium
	12/27/2006	\$50.00	Memorial gift
Forest Pharmaceutical	3/21/2006	\$10,000.00	06 Palm Beach Gala
	10/2/2006	\$50,000.00	06 New York Gala
GlaxoSmithKline Foundation	6/6/2006	\$25.00	Matching gift-Employer portion
Janssen Pharmaceutica Prod, LP	5/31/2006	\$10,000.00	06 Los Angeles Gala
	10/6/2006	\$15,000.00	06 New York Gala
Johnson & Johnson - Matching Gifts	2/23/2006	\$8,000.00	Matching gift-Employer portion
	3/9/2006	\$400.00	Matching gift-Employer portion
	12/14/2006	\$200.00	Matching gift-Employer portion
Neuronetics, Inc.	9/15/2006	\$3,000.00	Internet gift
	12/27/2006	\$3,000.00	Unsolicited gift
Pfizer Incorporated	3/7/2006	\$1,000.00	06 Palm Beach Gala
	9/11/2006	\$25,000.00	06 New York Gala
Pfizer Matching Gift Center	2/23/2006	\$75.00	Matching gift-Employer portion
	7/13/2006	\$100.00	Matching gift-Employer portion
Solvay Pharmaceuticals	8/30/2006	\$50,000.00	06 New York Gala
	10/4/2006	\$10,000.00	06 New York Symposium
Wellpoint Associate Giving Campaign	5/5/2006	\$27.00	Matching gift-Employer portion
	8/24/2006	\$31.50	Matching gift-Employer portion
	12/6/2006	\$27.00	Matching gift-Employer portion
Wellpoint Health Systems	6/19/2006	\$10,000.00	06 Los Angeles Gala
Wyeth-Ayerst Pharmaceuticals	1/20/2006	\$5,000.00	06 Sarasota Gala
	5/18/2006	\$10,000.00	06 Los Angeles Gala
	9/27/2006	\$25,000.00	06 New York Gala
Total		<u>\$396,924.44</u>	