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United States Senate

COMMITTEE ON THE JUDICIARY
WASHINGTON, DC 20510-6275

BRUCE A. COHEN, *Chief Counsel and Staff Director*
KOLAN L. DAVIS, *Republican Chief Counsel and Staff Director*

May 14, 2012

Mary Schapiro
Chairman
U.S. Securities and Exchange Commission
Washington, DC 20549

Dear Chairman Schapiro:

During a meeting with me last week, you and other senior commission staff represented that AT-RISK International conducted a security threat evaluation regarding the Assistant Inspector General for Investigations, which led to him being excluded from the building and placed on administrative leave. This information was then reported in the press shortly thereafter.

In order to better understand the basis for the Commission's action, please provide a copy of the threat evaluation prepared for the SEC by AT-RISK, and all records relating to it. In addition, please answer the following questions:

1. How many complaints did SEC employees file, related to the Assistant Inspector General for Investigations, with the Office of Security prior to the decision to have AT-RISK take statements and conduct a threat evaluation?
2. Who filed those previous complaints and when?
3. How many of the complaints were filed after the Assistant Inspector General for Investigations had filed his complaint regarding the Acting Inspector General with the Integrity Committee at the Council of Inspectors General?
4. Did the Acting Inspector General contact the Office of Security to file a complaint, and if so when? Or did the Office of Security or AT-RISK contact her to solicit a statement from her?

Thank you for your cooperation and attention in this matter. I would appreciate a response by May 21, 2012. If you have any questions, please do not hesitate to contact Chris Lucas for the Committee on the Judiciary at (202) 224-5225.

Sincerely,

A handwritten signature in blue ink that reads "Chuck Grassley". The signature is written in a cursive, slightly stylized font.

Charles E. Grassley
Ranking Member
Committee on the Judiciary