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COMMITTEE ON FINANCE
WASHINGTON, DC 20510-6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Andrew Hugine President Alabama A&M

Dear Dr. Hugine,

¹ See Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Hearing Before the S. Comm. on Finance, 116th Cong. (2019), available at https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-oversight-opportunities-and-policy-solutions; China's Impact on the U.S. Education System Hearing Before the Permanent Subcomm. on Investigations, 116th Cong. (2019), available at

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As a government entity, Confucius Institutes are controlled and managed by government officials and act as a mouthpiece for the Chinese Government. In 2011, Li Changchun, a member of the Chinese Government, stated:

The Confucius Institute is an appealing brand for expanding our culture abroad. It has made an important contribution toward improving our soft power. The 'Confucius' brand has a natural attractiveness. Using the excuse of teaching Chinese language, everything looks reasonable and logical.⁵

That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties." The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses. ¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute. ¹⁴

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¹⁵ Committee A on Academic Freedom and Tenure, On Partnerships with Foreign Governments: The Case of Confucius Institutes, American Association of University Professors available at https://www.aaup.org/report/confucius-institutes; Rachelle Peterson, Outsourced to China: Confucius Institutes and Soft Power in American Higher Education, National Association of Scholars (April 2017) at 22, available at https://files.eric.ed.gov/fulltext/ED580866.pdf

Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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VIA ELECTRONIC TRANSMISSION

Dr. Mark Zupan President Alfred University

Dear Dr. Zupan,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Mitchel Wallerstein President Baruch University

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Senate Committee on Finance

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Subcomm. on Investigations, 116th Cong. (2019), available at

Dr. Harvey Stenger President Binghamton University

Dear Dr. Stenger,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. Robert Runcie Superintendent Broward County Public Schools

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COMMITTEE ON FINANCE
WASHINGTON, DC 20510–6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. Ronald Machtley President Bryant University

Dear Mr. Machtley,

¹ See Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Hearing Before the S. Comm. on Finance, 116th Cong. (2019), available at https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-oversight-opportunities-and-policy-solutions; China's Impact on the U.S. Education System Hearing Before the Permanent Subcomm. on Investigations, 116th Cong. (2019), available at

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As a government entity, Confucius Institutes are controlled and managed by government officials and act as a mouthpiece for the Chinese Government. In 2011, Li Changchun, a member of the Chinese Government, stated:

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That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties."¹¹ The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."¹²

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses.¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute.¹⁴

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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VIA ELECTRONIC TRANSMISSION

Dr. Zulma Toro President Central Connecticut State University

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Janice Jackson CEO Chicago Public Schools

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Sincerely,

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. James Heimowitz President China Institute

Dear Mr. Heimowitz,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. Harlan Sands President Cleveland State University

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COMMITTEE ON FINANCE
WASHINGTON, DC 20510-6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Katherine Rowe President College of William and Mary

Dear Dr. Rowe,

¹ See Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Hearing Before the S. Comm. on Finance, 116th Cong. (2019), available at https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-oversight-opportunities-and-policy-solutions; China's Impact on the U.S. Education System Hearing Before the Permanent Subcomm. on Investigations, 116th Cong. (2019), available at

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As a government entity, Confucius Institutes are controlled and managed by government officials and act as a mouthpiece for the Chinese Government. In 2011, Li Changchun, a member of the Chinese Government, stated:

The Confucius Institute is an appealing brand for expanding our culture abroad. It has made an important contribution toward improving our soft power. The 'Confucius' brand has a natural attractiveness. Using the excuse of teaching Chinese language, everything looks reasonable and logical.⁵

That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties." The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses.¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute.¹⁴

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¹⁵ Committee A on Academic Freedom and Tenure, On Partnerships with Foreign Governments: The Case of Confucius Institutes, American Association of University Professors available at https://www.aaup.org/report/confucius-institutes; Rachelle Peterson, Outsourced to China: Confucius Institutes and Soft Power in American Higher Education, National Association of Scholars (April 2017) at 22, available at https://files.eric.ed.gov/fulltext/ED580866.pdf

Government, over twenty Confucius Institutes within the United States have been expelled from institutions of higher education.¹⁶

Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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Mrs. Joyce McConnell President Colorado State University

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. Lee Bollinger President Columbia University

Dear Mr. Bollinger,

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Everette Freeman President Community College of Denver

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. Reid Newey Superintendent Davis School District

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COMMITTEE ON FINANCE
WASHINGTON, DC 20510-6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. Randy Lucas CEO East Central Ohio Educational Service Center

Subcomm. on Investigations, 116th Cong. (2019), available at

Dear Mr. Lucas,

¹ See Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Hearing Before the S. Comm. on Finance, 116th Cong. (2019), available at https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-oversight-opportunities-and-policy-solutions; China's Impact on the U.S. Education System Hearing Before the Permanent

https://www.hsgac.senate.gov/subcommittees/investigations/hearings/chinas-impact-on-the-us-education-system; Letter from Senator Grassley, Chairman, Senate Judiciary Committee, to the Honorable Jeff Sessions, Attorney General, Dep't of Justice (Sept. 19, 2018), available at https://www.grassley.senate.gov/news/news-releases/grassley-presses-doj-fara-and-china-s-activity-us-education-system; Senator Grassley, Iowa not immune to foreign threats to taxpayer-funded research, (June 3, 2019), available at https://www.grassley.senate.gov/news/commentary/grassley-op-ed-iowa-not-immune-foreign-threats-taxpayer-funded-research.

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As a government entity, Confucius Institutes are controlled and managed by government officials and act as a mouthpiece for the Chinese Government. In 2011, Li Changchun, a member of the Chinese Government, stated:

The Confucius Institute is an appealing brand for expanding our culture abroad. It has made an important contribution toward improving our soft power. The 'Confucius' brand has a natural attractiveness. Using the excuse of teaching Chinese language, everything looks reasonable and logical.⁵

That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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⁵ Ethan Epstein, *How China Infiltrated U.S. Classrooms*, Politico (Jan. 17, 2018). *available at* https://www.politico.com/magazine/story/2018/01/16/how-china-infiltrated-us-classrooms-216327.

⁷ *Id. See also* Marshall Sahlins, *Confucius Institutes: Academic Malware*, The Asia-Pacific Journal, Volume 12, Issue 46 (Nov. 16, 2014), *available at* https://apjjf.org/2014/12/46/Marshall-Sahlins/4220.html.

In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties."¹¹ The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."¹²

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses.¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute.¹⁴

⁸ Senator Grassley, Chairman, Senate Judiciary Committee, to the Honorable Jeff Sessions, Attorney General, Dep't of Justice (Sept. 19, 2018), *available at* https://www.grassley.senate.gov/news/news-releases/grassley-presses-doj-fara-and-china-s-activity-us-education-system.

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¹¹ *Id.* at 8 (statement of John Demers, Assistant Att'y Gen., Department of Justice), https://www.judiciary.senate.gov/imo/media/doc/12-12-18%20Demers%20Testimony.pdf.

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¹³John S. McCain National Defense Authorization Act for Fiscal Year 2019 PL 115-232, August 13, 2018, 132 Stat 1636, *available at* https://www.congress.gov/115/bills/hr5515/BILLS-115hr5515enr.pdf.

¹⁴ *Id* at Section 1091.

¹⁵ Committee A on Academic Freedom and Tenure, On Partnerships with Foreign Governments: The Case of Confucius Institutes, American Association of University Professors available at https://www.aaup.org/report/confucius-institutes; Rachelle Peterson, Outsourced to China: Confucius Institutes and Soft Power in American Higher Education, National Association of Scholars (April 2017) at 22, available at https://files.eric.ed.gov/fulltext/ED580866.pdf

Government, over twenty Confucius Institutes within the United States have been expelled from institutions of higher education.¹⁶

Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

¹⁶ How many Confucius Institutes Are in the United States?, National Association of Scholars (Dec. 18, 2019) available at https://www.nas.org/blogs/dicta/how_many_confucius_institutes_are_in_the_united_states; Mike Ottone & Sunyu Kang, Confucius Institute Set to Close in Early 2020, The Review (Oct. 8, 2019), available at https://www.insidehighered.com/confucius-institute-set-to-close-in-early-2020/; Elizabeth Redden, Closing Confucius Institutes, Inside Higher Ed (Jan. 9, 2019), available at https://www.insidehighered.com/news/2019/01/09/colleges-move-close-chinese-government-funded-confucius-institutes-amid-increasing.

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VIA ELECTRONIC TRANSMISSION

Dr. Claire Sterk President Emory University

Dear Dr. Sterk,

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Ms. Anne Holton President George Mason University

Dear Ms. Holton,

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<u>%20Background%20and%20Implications%20for%20US_final_0.pdf.</u> "To carry out its influence activities abroad, the UFWD directs "overseas Chinese work," which seeks to co-opt ethnic Chinese individuals and communities living outside China, while a number of other key affiliated organizations guided by China's broader United Front strategy conduct influence operations targeting foreign actors and states."

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Sincerely,

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Senate Committee on Finance

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Thomas LeBlanc President George Washington University

Dear Dr. LeBlanc,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Mark Becker President Georgia State University

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COMMITTEE ON FINANCE
WASHINGTON, DC 20510-6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Grenita Lathan Superintendent Houston Independent School District

Dear Dr. Lathan,

¹ See Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Hearing Before the S. Comm. on Finance, 116th Cong. (2019), available at https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-oversight-opportunities-and-policy-solutions; China's Impact on the U.S. Education System Hearing Before the Permanent Subcomm. on Investigations, 116th Cong. (2019), available at

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As a government entity, Confucius Institutes are controlled and managed by government officials and act as a mouthpiece for the Chinese Government. In 2011, Li Changchun, a member of the Chinese Government, stated:

The Confucius Institute is an appealing brand for expanding our culture abroad. It has made an important contribution toward improving our soft power. The 'Confucius' brand has a natural attractiveness. Using the excuse of teaching Chinese language, everything looks reasonable and logical.⁵

That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties."¹¹ The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."¹²

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses.¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute.¹⁴

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

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Chairman

Senate Committee on Finance

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Mr. Richard Myers President Kansas State University

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Dr. Pamela Whitten President Kennesaw State University

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Senate Committee on Finance

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Rudy Crew President Medgar Evers College

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Gregory Crawford President Miami University (Ohio)

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COMMITTEE ON FINANCE
WASHINGTON, DC 20510–6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Samuel Stanley President Michigan State University

Dear Dr. Stanley,

¹ See Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Hearing Before the S. Comm. on Finance, 116th Cong. (2019), available at https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-oversight-opportunities-and-policy-solutions; China's Impact on the U.S. Education System Hearing Before the Permanent Subcomm. on Investigations, 116th Cong. (2019), available at

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As a government entity, Confucius Institutes are controlled and managed by government officials and act as a mouthpiece for the Chinese Government. In 2011, Li Changchun, a member of the Chinese Government, stated:

The Confucius Institute is an appealing brand for expanding our culture abroad. It has made an important contribution toward improving our soft power. The 'Confucius' brand has a natural attractiveness. Using the excuse of teaching Chinese language, everything looks reasonable and logical.⁵

That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties."¹¹ The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."¹²

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses. ¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute. ¹⁴

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

¹⁶ How many Confucius Institutes Are in the United States?, National Association of Scholars (Dec. 18, 2019) available at https://www.nas.org/blogs/dicta/how_many_confucius_institutes_are_in_the_united_states; Mike Ottone & Sunyu Kang, Confucius Institute Set to Close in Early 2020, The Review (Oct. 8, 2019), available at https://www.institutes.com/confucius-institute-set-to-close-in-early-2020/; Elizabeth Redden, Closing Confucius Institutes, Inside Higher Ed (Jan. 9, 2019), available at https://www.insidehighered.com/news/2019/01/09/colleges-move-close-chinese-government-funded-confucius-institutes-amid-increasing.

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Dr. Sydney McPhee President Middle Tennessee State University

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Dr. Sydney McPhee March 10, 2020 Page 4

Government, over twenty Confucius Institutes within the United States have been expelled from institutions of higher education.¹⁶

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Dr. Sue Henderson President New Jersey City University

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

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Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

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¹⁶ How many Confucius Institutes Are in the United States?, National Association of Scholars (Dec. 18, 2019) available at https://www.nas.org/blogs/dicta/how_many_confucius_institutes_are_in_the_united_states; Mike Ottone & Sunyu Kang, Confucius Institute Set to Close in Early 2020, The Review (Oct. 8, 2019), available at https://www.insidehighered.com/confucius-institute-set-to-close-in-early-2020/; Elizabeth Redden, Closing Confucius Institutes, Inside Higher Ed (Jan. 9, 2019), available at https://www.insidehighered.com/news/2019/01/09/colleges-move-close-chinese-government-funded-confucius-institutes-amid-increasing.

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Subcomm. on Investigations, 116th Cong. (2019), available at

Mr. Joel Pearsall President Northwest Nazarene University

Dear Mr. Pearsall,

¹ See Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Hearing Before the S. Comm. on Finance, 116th Cong. (2019), available at https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-oversight-opportunities-and-policy-solutions; China's Impact on the U.S. Education System Hearing Before the Permanent

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That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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⁵ Ethan Epstein, *How China Infiltrated U.S. Classrooms*, Politico (Jan. 17, 2018). *available at* https://www.politico.com/magazine/story/2018/01/16/how-china-infiltrated-us-classrooms-216327.

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⁷ *Id. See also* Marshall Sahlins, *Confucius Institutes: Academic Malware*, The Asia-Pacific Journal, Volume 12, Issue 46 (Nov. 16, 2014), *available at* https://apjjf.org/2014/12/46/Marshall-Sahlins/4220.html.

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. John Broderick President Old Dominion University

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VIA ELECTRONIC TRANSMISSION

Dr. Stephen Percy President Portland State University

Dear Dr. Percy,

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Subcomm. on Investigations, 116th Cong. (2019), available at

Mr. Bob Staton President Presbyterian College

Dear Mr. Staton,

¹ See Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Hearing Before the S. Comm. on Finance, 116th Cong. (2019), available at https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-oversight-opportunities-and-policy-solutions; China's Impact on the U.S. Education System Hearing Before the Permanent

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That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Robert Barchi President Rutgers University

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Ms. Kimberly Ballard-Washington President Savannah State University

Dear Ms. Ballard-Washington,

I write to you today to express my concern about Confucius Institutes within our academic system, including colleges and universities. U.S. Government agencies, including within the Intelligence Community, assert that the Communist Chinese Government uses Confucius Institutes embedded in our academic institutions as a propaganda tool within the United States. Despite these concerns, your institution's website indicates that a Confucius Institute is active on your campus. I am writing to encourage you and key members of your staff to request and schedule a briefing with your local Federal Bureau of Investigation (FBI) field office and become proactively involved in better understanding the national security threats posed by Confucius Institutes and the Chinese Government to our Nation's academic and research institutions.

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You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses.¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute.¹⁴

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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COMMITTEE ON FINANCE
WASHINGTON, DC 20510-6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. Tim Schlosser Superintendent Simpson County Schools

Dear Mr. Schlosser,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. Scott Wyatt President Southern Utah University

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Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

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Senate Committee on Finance

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COMMITTEE ON FINANCE
WASHINGTON, DC 20510-6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Robbyn Wacker President St. Cloud State University

Dear Dr. Wacker,

I write to you today to express my concern about Confucius Institutes within our academic system, including colleges and universities. U.S. Government agencies, including within the Intelligence Community, assert that the Communist Chinese Government uses Confucius Institutes embedded in our academic institutions as a propaganda tool within the United States. Despite these concerns, your institution's website indicates that a Confucius Institute is active on your campus. I am writing to encourage you and key members of your staff to request and schedule a briefing with your local Federal Bureau of Investigation (FBI) field office and become proactively involved in better understanding the national security threats posed by Confucius Institutes and the Chinese Government to our Nation's academic and research institutions.

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Marc Tessier-Lavigne President Stanford University

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Senate Committee on Finance

¹⁶ How many Confucius Institutes Are in the United States?, National Association of Scholars (Dec. 18, 2019) available at https://www.nas.org/blogs/dicta/how_many_confucius_institutes_are_in_the_united_states; Mike Ottone & Sunyu Kang, Confucius Institute Set to Close in Early 2020, The Review (Oct. 8, 2019), available at https://www.insidehighered.com/confucius-institute-set-to-close-in-early-2020/; Elizabeth Redden, Closing Confucius Institutes, Inside Higher Ed (Jan. 9, 2019), available at https://www.insidehighered.com/news/2019/01/09/colleges-move-close-chinese-government-funded-confucius-institutes-amid-increasing.

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COMMITTEE ON FINANCE
WASHINGTON, DC 20510-6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. David Heath President State College of Optometry, State University of New York

Dear Dr. Heath,

I write to you today to express my concern about Confucius Institutes within our academic system, including colleges and universities. U.S. Government agencies, including within the Intelligence Community, assert that the Communist Chinese Government uses Confucius Institutes embedded in our academic institutions as a propaganda tool within the United States. Despite these concerns, your institution's website indicates that a Confucius Institute is active on your campus. I am writing to encourage you and key members of your staff to request and schedule a briefing with your local Federal Bureau of Investigation (FBI) field office and become proactively involved in better understanding the national security threats posed by Confucius Institutes and the Chinese Government to our Nation's academic and research institutions.

Subcomm. on Investigations, 116th Cong. (2019), available at

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https://www.hsgac.senate.gov/subcommittees/investigations/hearings/chinas-impact-on-the-us-education-system; Letter from Senator Grassley, Chairman, Senate Judiciary Committee, to the Honorable Jeff Sessions, Attorney General, Dep't of Justice (Sept. 19, 2018), available at https://www.grassley.senate.gov/news/news-releases/grassley-presses-doj-fara-and-china-s-activity-us-education-system; Senator Grassley, Iowa not immune to foreign threats to taxpayer-funded research, (June 3, 2019), available at https://www.grassley.senate.gov/news/commentary/grassley-op-ed-iowa-not-immune-foreign-threats-taxpayer-funded-research.

² See Staff of S. Comm. on Homeland Security Government, 116th Cong., Rep. on China's Impact on the U.S. Education System (Comm. Print 2019) available at

https://www.portman.senate.gov/sites/default/files/PSI%20Report%20China%27s%20Impact%20on%20the%20US%20Education%20System.pdf; Thomas Lum, Cong. Research Serv., IF11180, Confucius Institutes in the United States: Selected Issues (2019), available at https://crsreports.congress.gov/product/pdf/IF/IF11180; Ethan Epstein, How China Infiltrated U.S. Classrooms, Politico (Jan. 17, 2018), available at https://www.politico.com/magazine/story/2018/01/16/how-china-infiltrated-us-classrooms-216327; Eric Shawn, China trying to infiltrate US colleges to recruit spies, indoctrinate students, intelligence agencies say, Fox News (Feb. 7, 2019), available at https://www.foxnews.com/us/china-infiltrating-us-colleges-to-recruit-spies-indoctrinate-students-intelligence-agencies-say; Rachelle Peterson, American Universities Are Welcoming China's Trojan Horse, Foreign Policy (May 9, 2017), available at https://foreignpolicy.com/2017/05/09/american-universities-are-welcoming-chinas-trojan-horse-confucius-institutes/.

As a government entity, Confucius Institutes are controlled and managed by government officials and act as a mouthpiece for the Chinese Government. In 2011, Li Changchun, a member of the Chinese Government, stated:

The Confucius Institute is an appealing brand for expanding our culture abroad. It has made an important contribution toward improving our soft power. The 'Confucius' brand has a natural attractiveness. Using the excuse of teaching Chinese language, everything looks reasonable and logical.⁵

That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

³ Rachelle Peterson, *Outsourced to China: Confucius Institutes and Soft Power in American Higher Education*, National Association of Scholars (April 2017) at 22, *available at* https://files.eric.ed.gov/fulltext/ED580866.pdf.

⁴ Alexander Bowe, U.S.-China Econ. and Sec. Review Comm'n, China's Overseas United Front Work Background and Implications for the United States (2018). *available at*

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<u>%20Background%20and%20Implications%20for%20US_final_0.pdf.</u> "To carry out its influence activities abroad, the UFWD directs "overseas Chinese work," which seeks to co-opt ethnic Chinese individuals and communities living outside China, while a number of other key affiliated organizations guided by China's broader United Front strategy conduct influence operations targeting foreign actors and states."

⁵ Ethan Epstein, *How China Infiltrated U.S. Classrooms*, Politico (Jan. 17, 2018). *available at* https://www.politico.com/magazine/story/2018/01/16/how-china-infiltrated-us-classrooms-216327.

⁷ *Id. See also* Marshall Sahlins, *Confucius Institutes: Academic Malware*, The Asia-Pacific Journal, Volume 12, Issue 46 (Nov. 16, 2014), *available at* https://apjjf.org/2014/12/46/Marshall-Sahlins/4220.html.

In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties."¹¹ The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."¹²

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses. ¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute. ¹⁴

⁸ Senator Grassley, Chairman, Senate Judiciary Committee, to the Honorable Jeff Sessions, Attorney General, Dep't of Justice (Sept. 19, 2018), *available at* https://www.grassley.senate.gov/news/news-releases/grassley-presses-doj-fara-and-china-s-activity-us-education-system.

⁹ Press Release (June 10, 2019), available at https://www.grassley.senate.gov/news/news-releases/grassley-leads-bipartisan-bill-boost-disclosure-foreign-lobbying.

¹⁰ China's Non-Traditional Espionage Against the United States: The Threat and Potential Policy Responses Before the S. Comm. on the Judiciary, 115th Cong. (2018), available at https://www.judiciary.senate.gov/meetings/chinas-non-traditional-espionage-against-the-united-states-the-threat-and-potential-policy-responses.

¹¹ *Id.* at 8 (statement of John Demers, Assistant Att'y Gen., Department of Justice), https://www.judiciary.senate.gov/imo/media/doc/12-12-18%20Demers%20Testimony.pdf.

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¹³John S. McCain National Defense Authorization Act for Fiscal Year 2019 PL 115-232, August 13, 2018, 132 Stat 1636, *available at* https://www.congress.gov/115/bills/hr5515/BILLS-115hr5515enr.pdf.

¹⁴ *Id* at Section 1091.

¹⁵ Committee A on Academic Freedom and Tenure, On Partnerships with Foreign Governments: The Case of Confucius Institutes, American Association of University Professors available at https://www.aaup.org/report/confucius-institutes; Rachelle Peterson, Outsourced to China: Confucius Institutes and Soft Power in American Higher Education, National Association of Scholars (April 2017) at 22, available at https://files.eric.ed.gov/fulltext/ED580866.pdf

Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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COMMITTEE ON FINANCE WASHINGTON, DC 20510-6200

KOLAN DAVIS STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Satish Tripathi President State University of New York at Buffalo

Dear Dr. Tripathi,

I write to you today to express my concern about Confucius Institutes within our academic system, including colleges and universities. U.S. Government agencies, including within the Intelligence Community, assert that the Communist Chinese Government uses Confucius Institutes embedded in our academic institutions as a propaganda tool within the United States.² Despite these concerns, your institution's website indicates that a Confucius Institute is active on your campus. I am writing to encourage you and key members of your staff to request and schedule a briefing with your local Federal Bureau of Investigation (FBI) field office and become proactively involved in better understanding the national security threats posed by Confucius Institutes and the Chinese Government to our Nation's academic and research institutions.

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Michael Bernstein President Stony Brook University

Dear Dr. Bernstein,

I write to you today to express my concern about Confucius Institutes within our academic system, including colleges and universities. U.S. Government agencies, including within the Intelligence Community, assert that the Communist Chinese Government uses Confucius Institutes embedded in our academic institutions as a propaganda tool within the United States. Despite these concerns, your institution's website indicates that a Confucius Institute is active on your campus. I am writing to encourage you and key members of your staff to request and schedule a briefing with your local Federal Bureau of Investigation (FBI) field office and become proactively involved in better understanding the national security threats posed by Confucius Institutes and the Chinese Government to our Nation's academic and research institutions.

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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WASHINGTON, DC 20510-6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Richard Englert President Temple University

Dear Dr. Englert,

I write to you today to express my concern about Confucius Institutes within our academic system, including colleges and universities. U.S. Government agencies, including within the Intelligence Community, assert that the Communist Chinese Government uses Confucius Institutes embedded in our academic institutions as a propaganda tool within the United States. Despite these concerns, your institution's website indicates that a Confucius Institute is active on your campus. I am writing to encourage you and key members of your staff to request and schedule a briefing with your local Federal Bureau of Investigation (FBI) field office and become proactively involved in better understanding the national security threats posed by Confucius Institutes and the Chinese Government to our Nation's academic and research institutions.

¹ See Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Hearing Before the S. Comm. on Finance, 116th Cong. (2019), available at https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-oversight-opportunities-and-policy-solutions; China's Impact on the U.S. Education System Hearing Before the Permanent Subcomm. on Investigations, 116th Cong. (2019), available at

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Kenneth Huewitt President Texas Southern University

Dear Dr. Huewitt,

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Sincerely,

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Senate Committee on Finance

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WASHINGTON, DC 20510-6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Kristina Johnson Chancellor The State University of New York

Dear Dr. Johnson,

¹ See Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Hearing Before the S. Comm. on Finance, 116th Cong. (2019), available at https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-opportunities_and_policy_solutions; China's Impact on the U.S. Education System Hearing Refere the Regional Policy solutions.

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² See Staff of S. Comm. on Homeland Security Government, 116th Cong., Rep. on China's Impact on the U.S. Education System (Comm. Print 2019) available at

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Gary Miller President The University of Akron

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VIA ELECTRONIC TRANSMISSION

Dr. Gary May Chancellor The University of California, Davis

Dear Dr. May,

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That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties."¹¹ The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."¹²

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses.¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute.¹⁴

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Gene Block Chancellor The University of California, Los Angeles

Dear Dr. Block,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Henry Yang Chancellor The University of California, Santa Barbara

Dear Dr. Yang,

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Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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WASHINGTON, DC 20510-6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. C. Scott Green President The University of Idaho

Dear Mr. Green,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. M. David Rudd President The University of Memphis

Dear Dr. Rudd,

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KOLAN DAVIS STAFF DIRECTOR AND CHIEF COLINSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. Joseph Harroz President The University of Oklahoma

Dear Mr. Harroz,

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That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties." The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses.¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute.¹⁴

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. Bob Caslen
President
The University of South Carolina

Dear Mr. Caslen,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Glenn Cummings
President
The University of Southern Maine

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Sincerely,

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Senate Committee on Finance

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Richard Benson President The University of Texas at Dallas

Dear Dr. Benson,

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VIA ELECTRONIC TRANSMISSION

Dr. Sharon Gaber President The University of Toledo

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Dr. Ruth Watkins President The University of Utah

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That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties."¹¹ The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."¹²

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses.¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute.¹⁴

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Mr. Dennis Shields Chancellor The University of Wisconsin-Platteville

Dear Mr. Shields,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Jack Hawkins Chancellor Troy University

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Sincerely,

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Senate Committee on Finance

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Anthony Monaco President Tufts University

Dear Dr. Monaco,

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VIA ELECTRONIC TRANSMISSION

Dr. Havidán Rodríguez President University at Albany, State University of New York

Subcomm. on Investigations, 116th Cong. (2019), available at

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Dr. Cathy Sandeen Chancellor University of Alaska Anchorage

Dear Dr. Sandeen,

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That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties."¹¹ The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."¹²

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses.¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute.¹⁴

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Houston Davis President University of Central Arkansas

Dear Dr. Davis,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Eli Capilouto President University of Kentucky

Dear Dr. Capilouto,

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Sincerely,

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Senate Committee on Finance

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WASHINGTON, DC 20510-6200

KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Ronnie Green Chancellor University of Nebraska-Lincoln

Dear Dr. Green,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. James Dean President University of New Hampshire

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VIA ELECTRONIC TRANSMISSION

Dr. Philip Dubois Chancellor University of North Carolina Charlotte

Dear Dr. Dubois,

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You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses.¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute.¹⁴

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Patrick Gallagher Chancellor University of Pittsburgh

Dear Dr. Gallagher,

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Mark Heckler President Valparaiso University

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Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

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Chairman

Senate Committee on Finance

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. M. Roy Wilson President Wayne State University

Dear Dr. Wilson,

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The Confucius Institute is an appealing brand for expanding our culture abroad. It has made an important contribution toward improving our soft power. The 'Confucius' brand has a natural attractiveness. Using the excuse of teaching Chinese language, everything looks reasonable and logical.⁵

That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

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In light of these concerns, I wrote to DOJ in September 2018 asking why it had yet to require officials connected to Confucius Institutes to register as foreign agents under the Foreign Agents Registration Act (FARA).⁸ That law is critical to identifying agents operating within the United States on behalf of foreign principals, and I recently reintroduced bipartisan legislation to strengthen the law, the Foreign Agents Disclosure and Registration Enhancement Act (S.1762).⁹ In addition, in December 2018, I convened a hearing as Chairman of the Judiciary Committee regarding China's non-traditional espionage against the United States.¹⁰ In that hearing, DOJ and FBI officials made clear that the threat to our universities and taxpayer-funded research from foreign governments is known and ongoing. For example, the DOJ witness, Assistant Attorney General for National Security John Demers, stated, "we need to adapt our enforcement strategy to reach non-traditional collectors, including researchers in labs, universities, and the defense industrial base, some of whom may have undisclosed ties to Chinese institutions and conflicted loyalties." The FBI witness, then-Assistant Director for the Counterintelligence Division Bill Priestap, stated that China's talent recruitment programs are effectively "brain gain programs" that "encourage theft of intellectual property from U.S. institutions."

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses. ¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute. ¹⁴

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Julian Schuster President Webster University

Dear Dr. Schuster,

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VIA ELECTRONIC TRANSMISSION

Dr. Vivia Fowler President Wesleyan College

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KOLAN DAVIS, STAFF DIRECTOR AND CHIEF COUNSEL JOSHUA SHEINKMAN, DEMOCRATIC STAFF DIRECTOR

March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. E. Gordon Gee President West Virginia University

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Edward Montgomery President Western Michigan University

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March 10, 2020

VIA ELECTRONIC TRANSMISSION

Dr. Reynold Verret President Xavier University of Louisiana

Dear Dr. Verret,

¹ See Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Hearing Before the S. Comm. on Finance, 116th Cong. (2019), available at https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-oversight-opportunities-and-policy-solutions; China's Impact on the U.S. Education System Hearing Before the Permanent Subcomm. on Investigations, 116th Cong. (2019), available at

https://www.hsgac.senate.gov/subcommittees/investigations/hearings/chinas-impact-on-the-us-education-system; Letter from Senator Grassley, Chairman, Senate Judiciary Committee, to the Honorable Jeff Sessions, Attorney General, Dep't of Justice (Sept. 19, 2018), available at https://www.grassley.senate.gov/news/news-releases/grassley-presses-doj-fara-and-china-s-activity-us-education-system; Senator Grassley, Iowa not immune to foreign threats to taxpayer-funded research, (June 3, 2019), available at https://www.grassley.senate.gov/news/commentary/grassley-op-ed-iowa-not-immune-foreign-threats-taxpayer-funded-research.

² See Staff of S. Comm. on Homeland Security Government, 116th Cong., Rep. on China's Impact on the U.S. Education System (Comm. Print 2019) available at

https://www.portman.senate.gov/sites/default/files/PSI%20Report%20China%27s%20Impact%20on%20the%20US%20Education%20System.pdf; Thomas Lum, Cong. Research Serv., IF11180, Confucius Institutes in the United States: Selected Issues (2019), available at https://crsreports.congress.gov/product/pdf/IF/IF11180; Ethan Epstein, How China Infiltrated U.S. Classrooms, Politico (Jan. 17, 2018), available at https://www.politico.com/magazine/story/2018/01/16/how-china-infiltrated-us-classrooms-216327; Eric Shawn, China trying to infiltrate US colleges to recruit spies, indoctrinate students, intelligence agencies say, Fox News (Feb. 7, 2019), available at https://www.foxnews.com/us/china-infiltrating-us-colleges-to-recruit-spies-indoctrinate-students-intelligence-agencies-say; Rachelle Peterson, American Universities Are Welcoming China's Trojan Horse, Foreign Policy (May 9, 2017), available at https://foreignpolicy.com/2017/05/09/american-universities-are-welcoming-chinas-trojan-horse-confucius-institutes/.

As a government entity, Confucius Institutes are controlled and managed by government officials and act as a mouthpiece for the Chinese Government. In 2011, Li Changchun, a member of the Chinese Government, stated:

The Confucius Institute is an appealing brand for expanding our culture abroad. It has made an important contribution toward improving our soft power. The 'Confucius' brand has a natural attractiveness. Using the excuse of teaching Chinese language, everything looks reasonable and logical.⁵

That same individual also said that Confucius Institutes are an "important part of China's overseas propaganda set-up." Not surprisingly, a member of China's propaganda ministry reportedly said:

³ Rachelle Peterson, *Outsourced to China: Confucius Institutes and Soft Power in American Higher Education*, National Association of Scholars (April 2017) at 22, *available at* https://files.eric.ed.gov/fulltext/ED580866.pdf.

⁴ Alexander Bowe, U.S.-China Econ. and Sec. Review Comm'n, China's Overseas United Front Work Background and Implications for the United States (2018). *available at*

https://www.uscc.gov/sites/default/files/Research/China's%20Overseas%20United%20Front%20Work%20-

^{%20}Background%20and%20Implications%20for%20US_final_0.pdf. "To carry out its influence activities abroad, the UFWD directs "overseas Chinese work," which seeks to co-opt ethnic Chinese individuals and communities living outside China, while a number of other key affiliated organizations guided by China's broader United Front strategy conduct influence operations targeting foreign actors and states."

⁵ Ethan Epstein, *How China Infiltrated U.S. Classrooms*, Politico (Jan. 17, 2018). *available at* https://www.politico.com/magazine/story/2018/01/16/how-china-infiltrated-us-classrooms-216327.

⁷ *Id. See also* Marshall Sahlins, *Confucius Institutes: Academic Malware*, The Asia-Pacific Journal, Volume 12, Issue 46 (Nov. 16, 2014), *available at* https://apjjf.org/2014/12/46/Marshall-Sahlins/4220.html.

You may also be aware that the National Defense Authorization Act (NDAA) for Fiscal Year 2019 included a provision that addresses Congress' distrust of China's role within Confucius Institutes on American campuses.¹³ Specifically, it prohibits federal funds from being spent for Chinese language instruction provided by a Confucius Institute.¹⁴

⁸ Senator Grassley, Chairman, Senate Judiciary Committee, to the Honorable Jeff Sessions, Attorney General, Dep't of Justice (Sept. 19, 2018), *available at* https://www.grassley.senate.gov/news/news-releases/grassley-presses-doj-fara-and-china-s-activity-us-education-system.

⁹ Press Release (June 10, 2019), available at https://www.grassley.senate.gov/news/news-releases/grassley-leads-bipartisan-bill-boost-disclosure-foreign-lobbying.

¹⁰ China's Non-Traditional Espionage Against the United States: The Threat and Potential Policy Responses Before the S. Comm. on the Judiciary, 115th Cong. (2018), available at https://www.judiciary.senate.gov/meetings/chinas-non-traditional-espionage-against-the-united-states-the-threat-and-potential-policy-responses.

¹¹ *Id.* at 8 (statement of John Demers, Assistant Att'y Gen., Department of Justice), https://www.judiciary.senate.gov/imo/media/doc/12-12-18%20Demers%20Testimony.pdf.

¹² *Id.* at 5 (statement of E.W. "Bill" Priestap, Assistant Director, Counterintelligence Division, Federal Bureau of Investigation), https://www.judiciary.senate.gov/imo/media/doc/12-12-18%20Priestap%20Testimony.pdf.

¹³John S. McCain National Defense Authorization Act for Fiscal Year 2019 PL 115-232, August 13, 2018, 132 Stat 1636, *available at* https://www.congress.gov/115/bills/hr5515/BILLS-115hr5515enr.pdf.

¹⁴ *Id* at Section 1091.

¹⁵ Committee A on Academic Freedom and Tenure, On Partnerships with Foreign Governments: The Case of Confucius Institutes, American Association of University Professors available at https://www.aaup.org/report/confucius-institutes; Rachelle Peterson, Outsourced to China: Confucius Institutes and Soft Power in American Higher Education, National Association of Scholars (April 2017) at 22, available at https://files.eric.ed.gov/fulltext/ED580866.pdf

Moreover, the Chinese Government has taken serious steps toward stealing our intellectual property from taxpayer-funded research projects. We must ensure that our universities and taxpayer-funded research projects remain at the forefront of the global market and free from malicious foreign influence.¹⁷ On June 5, 2019, I convened a Senate hearing titled, "Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions." This hearing laid out the importance of "properly balancing the robust development and exchange of ideas in the research field with reasonable and proportionate common-sense efforts to protect the integrity of [academic] research." Such efforts must be a whole-of-government approach and every department and agency must take all necessary and reasonable steps to be proper stewards of the taxpayers' money. That requires government to protect its research projects from any foreign interference or influence that could result in damage to or theft of American intellectual property. The private sector has the same responsibility.

Your institution's relationship with Confucius Institutes should be based on a clear understanding of the risks. To that end, meeting with federal law enforcement experts and receiving additional information from the FBI is imperative for a full understanding of the risks to both your institution and our national security. Please inform me when your relevant officials have requested and received an FBI briefing on this critical national security matter. If I have not heard from you by March 31, 2020, I will follow up with you.

Should you have questions, please contact Joshua Flynn-Brown of my Committee staff at 202-224-4515. Thank you for your attention to this important matter.

Sincerely,

Charles E. Grassley

Chairman

Senate Committee on Finance

¹⁶ How many Confucius Institutes Are in the United States?, National Association of Scholars (Dec. 18, 2019) available at https://www.nas.org/blogs/dicta/how_many_confucius_institutes_are_in_the_united_states; Mike Ottone & Sunyu Kang, Confucius Institute Set to Close in Early 2020, The Review (Oct. 8, 2019), available at https://www.institutes.com/confucius-institute-set-to-close-in-early-2020/; Elizabeth Redden, Closing Confucius Institutes, Inside Higher Ed (Jan. 9, 2019), available at https://www.insidehighered.com/news/2019/01/09/colleges-move-close-chinese-government-funded-confucius-institutes-amid-increasing.

¹⁷ Foreign Threats to Taxpayer-Funded Research: Oversight Opportunities and Policy Solutions Before the S. Comm. on Finance, 116th Cong. (2019), *available at* https://www.finance.senate.gov/hearings/foreign-threats-to-taxpayer_funded-research-oversight-opportunities-and-policy-solutions.