



U.S. COMMODITY FUTURES TRADING COMMISSION

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**Office of the
Chairman**

December 2, 2025

VIA ELECTRONIC TRANSMISSION

Dear Chairman Grassley,

Please find below the supplemental answers of the Commodity Futures Trading Commission ("CFTC") to its August 27, 2025 submission in response to your letter of August 13, 2025.

1. Why and when did Mr. Alexander-Neal travel to Lebanon? How long and where did he reside and travel while there? Did Mr. Alexander-Neal travel to Lebanon to meet any particular individuals or groups? Explain, in detail, what Mr. Alexander-Neal did while in Lebanon and provide records.

CFTC Response: The CFTC has completed its review of its records concerning Mr. Alexander-Neal's trip to Lebanon. The only responsive material is contained within the CFTC's Office of Inspector General ("OIG") Report of Investigation into Alleged Telework Violations by CFTC Risk Analyst (CFTC-00041) and excerpts from Mr. Alexander-Neal's book, *Verses of Life*. Based on this information it appears that Mr. Alexander-Neal visited Lebanon in approximately early 2019 and again in approximately early 2022 for an unknown duration. The CFTC has no information concerning whether Mr. Alexander-Neal met with any particular individuals or groups.

4. From 2022 to present, broken down by pay period, provide:

- a. How many hours Mr. Alexander-Neal certified working on his timesheets;**
- b. How many hours or approximate minutes Mr. Alexander-Neal was logged into his government issued computer;**
- c. How many hours or approximate minutes Mr. Alexander-Neal performed tasks on his government issued mobile device;**
- d. Total amount of hours Mr. Alexander-Neal fraudulently certified on his timesheets; and**
- e. Total amount money Mr. Alexander-Neal was overpaid for the time he fraudulently certified on his timesheets.**

CFTC Response: In its submission on August 27, 2025, the CFTC responded to questions 4.a, 4.d, and 4.e. Concerning question 4.b, between March 5, 2024, and December 2, 2024, Mr. Alexander-Neal was logged into his government computer for approximately 412 hours. This is an estimate based on metadata from the employee's network user account. Concerning question 4.c, between March 5, 2024, and December 2, 2024, Mr. Alexander-Neal was active on his government issued mobile device for approximately 112 hours. This is an estimate based on metadata from the employee's network user account. Please see the attachment for a breakdown by pay period. Combining these totals, Mr. Alexander-Neal was using government equipment for a total of 524 hours during the period between March 5, 2024, and December 2, 2024. Taking into account the leave he took, Mr. Alexander-Neal should have been using government equipment for approximately 1200 hours during this time frame, not 542 hours.

The agency also sampled Mr. Alexander-Neal's e-mail for the period from June 1, 2024, to December 1, 2024. Of the 3,072 e-mails sent, 90% were union related, 7% were work related, and 3% were personal.

As identified in its initial response, the CFTC is unable to provide information for periods prior to March 2024 without incurring significant costs, and it is the CFTC's understanding that the data received would likely be of questionable use due to associated technological difficulties. The CFTC is available to discuss these issues further at your convenience.

13. From 2020 to present, provide each instance a CFTC employee abused the agency's telework program. Explain, in detail, the violation and the accompanying corrective action.

CFTC Response: The CFTC continues to monitor its employees in order to identify any possible abuse of the agency's telework program. The CFTC has identified over two dozen potential violations of the agency's telework policy by its employees and is continuing to investigate. The CFTC is continuing an on-going review of other potential violations.

Sincerely,

A handwritten signature in blue ink, reading "Caroline D. Pham". The signature is fluid and cursive, with the first name "Caroline" and last name "Pham" clearly legible, and "D." as a middle initial.

Caroline D. Pham
Acting Chairman

MALCOLM ALEXANDER-NEAL: PERFORMANCE DATA (MAR-DEC 2024)

PAY PERIOD		START	END	WORK DAYS	OFFICIAL TIME CLAIMED	COMPUTER HRS LOGGED IN	EST HRS ACTIVE ON GOV MOBILE
4*		03/05/24	03/09/24	4	38	15	4
5		03/10/24	03/23/24	10	96	26	13
6		03/24/24	04/06/24	9	90	18	9
7		04/07/24	04/20/24	10	100	29	9
8		04/21/24	05/04/24	7	70	13	7
9		05/05/24	05/18/24	8	80	14	5
10		05/19/24	06/01/24	7	72	5	6
11		06/02/24	06/15/24	8	80	12	6
12		06/16/24	06/29/24	7	72	20	2
13		06/30/24	07/13/24	9	88	15	7
14		07/14/24	07/27/24	8	80	56	4
15		07/28/24	08/10/24	10	96	35	7
16		08/11/24	08/24/24	4	26	10	2
17		08/25/24	09/07/24	8	67	22	4
18		09/08/24	09/21/24	8	69	27	5
19		09/22/24	10/05/24	8	80	21	8
20		10/06/24	10/19/24	7	67	16	4
21		10/20/24	11/02/24	4	40	10	2
22		11/03/24	11/16/24	4	32	5	3
23		11/17/24	11/30/24	7	66	35	5
24*		12/01/24	12/02/24	1	10	8	0
TOTALS				148	1419	412	112

*Denotes partial payperiods.

NOTE: On 19 non-workdays between March 5, 2024 and December 2, 2024, Mr. Alexander-Neal was active on his government device(s) but did not claim this time in his timekeeping records. These total 41 hours. The log also provided inconclusive login-logout data for four non-workdays (Oct 18, Oct 24, Nov 8, Nov 15).

DEFINITIONS:

Official time: all hours spent performing official duties, to include regular duty, union duty, overtime, compensatory time earned, or credit hours earned, but not leave or excused absences.

Work day: any day on which the employee claimed official time, to include regularly scheduled workdays and any non-regular workdays (e.g. weekends or holidays) for which the employee claimed official time.

Computer Hours Logged In: This includes the approximate duration of time the Employee was logged into his computer.

Estimated Hours Active on Government Mobile: This estimate includes time the Employee spent actively using Outlook, Sharepoint, or Teams on his government phone while not logged into his computer. When the Employee performed an activity (e.g. opening an email or "liking" a Teams message) via Outlook, Sharepoint, or Teams on his government phone, he was credited with at least one hour of time even when the log suggested this activity lasted less than an hour. Outlook, Sharepoint and Teams were combined for purposes of this estimate because the logs indicate that these mobile activities generally take just a few seconds or minutes each. For example, if the Employee performed one discrete activity on all three applications for a total of three functions, he was credited with one hour. On days when the Employee spent prolonged periods active on his government phone, he was credited accordingly. In addition, because OIG does not have Teams data for the first 11 workdays in the time period—Mar 5, 6, 7, 8, 11, 12, 13, 14 15, 18, and 19—OIG resolved the ambiguity by crediting the employee with one extra hour per day.