



**CHADD ETHICAL PRINCIPLES FOR ACCEPTANCE OF
CORPORATE AND FOUNDATION SUPPORT**

The purpose of seeking corporate and foundation relationships is to expand support for Children and Adults with Attention Deficit/Hyperactivity Disorder (CHADD):

- To maintain a support network for parents who have children with attention deficit/hyperactivity disorder (AD/HD) and adults with AD/HD;
- To provide opportunities for continuing education about the disability for adults with AD/HD, parents of children with AD/HD, and the professionals who treat and teach them;
- To be a community resource for information about AD/HD;
- To make the best educational experiences available to persons with AD/HD so that their specific difficulties will be recognized and appropriately managed within the educational setting;
- To promote appropriate support and accommodation for adults with AD/HD in the workplace and community;
- To promote legislative activity on issues affecting persons with AD/HD; and
- To promote and support research to identify programs and practices that show measurable improvement in the quality of life of individuals affected by AD/HD.

In its search for funding to support approved program objectives, CHADD routinely solicits contributions from businesses, corporations, and foundations. Among these are companies whose products and/or services are specifically linked to AD/HD. While CHADD is committed to achieving a diversified base of support and actively seeking contributions from businesses, corporations, and foundations with no direct financial interest in AD/HD, CHADD believes it is ethically sound to request business concerns that profit from AD/HD to devote a portion of those profits to support charitable endeavors that will benefit people with AD/HD.

For any CHADD fiscal year, no more than 30% of CHADD's revenue can be derived from donations and grants from pharmaceutical companies. Revenue such as advertising is not considered "donations and grants" for this purpose.

At the same time, CHADD and its membership units are committed to avoiding conflict of interest or even its appearance in accepting financial support from corporations with vested interests in how consumers, the health care community, and education professionals regard their products. To assure that conflicts of interest do not occur, CHADD's Board of Directors (Board) has determined that acceptance of restricted or unrestricted gifts from any source, and CHADD's subsequent relationship to these donors, shall be governed by the following principles:

1. CHADD shall at all times maintain an independent position on issues affecting the welfare of people with AD/HD. The potential effect of such positions on the commercial interest of a funding source shall not be a relevant factor in CHADD's decision-making process.
2. CHADD will solicit support only for projects and activities that are consistent with CHADD's mission and long range plan.
3. CHADD will accept support for projects or programs only with assurances that the privacy of people with AD/HD and their families will be protected.



4. CHADD will accept funds for projects involving educational and informational services only when CHADD has complete editorial and managerial control.
5. CHADD's Executive Committee shall facilitate compliance with these principles. Prior to acceptance of any proposed contribution from any entity in excess of \$25,000, the Executive Committee, in consultation with appropriate individuals (e.g. other members of the Board, Professional Advisory Board, etc) and following receipt of a recommendation from the Finance and Oversight Committee shall review the proposal. The proposal then may be acted upon by the Executive Committee or submitted to the Board for further consideration. (Pursuant to the attached procedure)
5. CHADD retains final approval of all uses of its name, logo, or identifying marks.
6. CHADD will accept support for professional/consumer meetings and symposia only when the program content and selection of speakers are determined by CHADD or an independent body of professionals and/or consumers in which the funding organization does not have a controlling voice. The independent body will be required to adhere to CHADD's conflict of interest policy and to disclose any relationship to the funding source.
7. CHADD will maintain complete control of all funds provided from commercial contributions to support CHADD's educational activities. The commercial source will not be permitted to provide funds directly to faculty or other program participants, except with CHADD's consent. The commercial source may provide in-kind services (e.g. printing, reproduction) to support the educational activity.
8. CHADD will not permit presentations which support a commercial product at meetings except under the following conditions:
 - Commercial exhibits at which all competitors are afforded an opportunity to exhibit and professional standards of conduct are maintained; and
 - Presentation of scientific data that is research based and conducted independently from the commercial source. CHADD will not accept support from a business for a presentation concerning a specific commercial product manufactured or distributed by that business.
9. CHADD will provide appropriate recognition of corporate and foundation support, but will be vigilant to ensure that such funding for its programs and materials is not interpreted as CHADD's endorsement of a business or product and will take all steps necessary to avoid such a perception. A disclaimer stating that CHADD does not endorse products, services, publications, medications, or treatments shall be prominently displayed in all CHADD publications in which advertising is accepted and at all CHADD meetings for which corporate or foundation support has been accepted.
10. CHADD will be vigilant to ensure that programs for which it accepts funding do not generate funds for CHADD that could otherwise threaten CHADD's non-profit status.
11. CHADD chapters, branches, and satellites will be bound by these principles.
12. CHADD will ensure that all corporate and foundation donors are familiar with these ethical principles and acknowledge their understanding of the purposes by signing the following "*Contribution Acknowledgement*" statement and returning it to the CHADD National Office.
13. Any monies received pursuant to this policy shall be spent in a manner consistent with any limitations or contingencies contained in the grant or donation as accepted.



CORPORATE AND FOUNDATION SUPPORT POLICY PROCEDURE

1. Proposals of less than \$25,000 will be accepted or rejected by the CEO based on the aforementioned principles.
2. Finance and Development Committee will review proposals of \$25,000 or more received from the CEO, Chief Development Officer, or Revenue Generation Committee.
3. A recommendation on the proposal from the Finance and Development Committee will be given to the Executive Committee.
4. The Executive Committee, following consultation with appropriate individuals if desired, may vote to modify, accept, or reject the proposal or may refer the proposal for action by the Board of Directors.
5. Notification of acceptance, rejection, or modification of the proposal to the donor will be carried out by the CEO.
6. A signed copy of the "*Contribution Acknowledgement*" will be kept on file at National Office and with corporate counsel.

CHADD CONFLICT OF INTEREST POLICY

I. Statement of Policy

- A. No officer, Board member or Professional Advisory Board member shall allow his or her personal, financial, business or other concerns of an organizational decision-maker to influence any organizational decision in a manner not related to the organization's best interests.
- B. No officer, Board member or Professional Advisory Board member shall use his or her position, or the knowledge gained therefrom, in such a manner that a conflict between the interest of the organization or any of its affiliates and his or her personal interests arises.
- C. No officer, Board member or Professional Advisory Board member shall act in a manner in which his or her actions give the appearance of exercising inappropriate influence for the purpose of advancing his or her own personal interests or financial, business or personal gain.
- D. No officer, Board member or Professional Advisory Board members may obtain for themselves, their relatives, or their friends a material interest of any kind from their association with an organization.
- E. If an officer, Board member or Professional Advisory Board member has an interest in a proposed transaction with the organization in the form of a significant personal financial interest in the transaction or in any organization involved in the transaction, or holds a position as a trustee, director or officer in any such organization, he or she must make full disclosure of such interest before any discussion or negotiation of such transaction.
- F. Any officer, Board member or Professional Advisory Board member who is aware of a potential conflict of interest with respect to any matter coming before the Board or committee shall recuse himself or herself from voting on such matters and, as appropriate, refrain from participation in relevant portion of the meeting.
- G. At all times officers, Board members and Professional Advisory Board members shall take steps to avoid even the appearance of a conflict of interest.



II. Disclosure and Oversight

- A. Officers, Board members and Professional Advisory Board members of the organization will submit annually a disclosure form and, if not previously disclosed, will make disclosure of actual or potential conflicts of interest before any relevant Board or committee action.
- B. These reports will be reviewed by the Executive Committee, which will attempt to resolve any actual or potential conflict(s) and, in the absence of such resolution, refer the matter to the Board of Directors.



CONTRIBUTION ACKNOWLEDGEMENT

_____ has provided CHADD with _____
to provide support for the following purposes:

for the following time period: _____ to _____.

The above ethical principles have been reviewed and corporate or foundation management understand and respects the importance and purpose of these guidelines and will honor them in the course of providing corporate or foundation support to CHADD for the purpose of benefiting people with attention deficit/hyperactivity disorder.

Name: _____ Signature: _____

Title: _____ Date: _____

CHADD wishes to thank the Epilepsy Foundation of America, the American Liver Foundation, and the Asthma and Allergy Foundation of America for the thoughtful donation policies each provided for CHADD to use in the development of these ethical principles.

Please return to:

E. Clarke Ross, CEO • CHADD National Office • 8181 Professional Place, Suite 150 •
Landover, MD 20785

May 7, 2009 Board Adopted Revision One: Insert the National Health Council definitions of types of arrangements between voluntary health agencies and pharmaceutical companies, as an appendix to policy 5.2.

- **Program Sponsorships:** Support provided to conduct educational programs for patients and health care professionals and public awareness programs. In the case of professional education, the program must be conducted in accordance with the guidelines of the Accreditation Council for Continuing Medical Education (ACCME).



- **Event Sponsorships:** Support provided primarily to underwrite fundraising events, such as walks, bike rides, and black-tie galas. Corporate support typically offsets a portion of the expenses of such events, allowing more of the funds raised to go directly to support the organization's mission.
- **Health Message Promotions:** Activities that direct the consumer to the organization for information on the specific disease or condition in exchange for use of the organization's name, logo or message in a corporation's product advertising, promotional or educational materials.
- **Strategic Alliance or Partnership:** A significant, long-term and mutually beneficial relationship between an organization and a corporation. Typically, the organization helps supply a health education message or service that is delivered through a company delivery system to better serve people with the particular health interest or condition. It is often a collaborative effort to improve the health information or service provided to the individual. It may not necessarily involve a monetary exchange.
- **Consumer Product Purchase:** A donation to the organization triggered by the purchase of a product by a consumer. Such initiatives are most commonly based on sales during a specific period and/or include a cap on the maximum amount that the corporation will donate.
- **Endorsement:** A recommendation that a product, special feature of a product, attribute of a product and/or the efficacy of a product is superior to other products. Generally, endorsements should be avoided. In particular special scrutiny, including regulatory guidelines, must be applied when prescription and over-the-counter drugs and devices are involved.
- **Licensing:** A relationship where an organization authorizes a company to develop, produce, market and/or distribute a mission-related product that is marketed under the organization's name, e.g., cookbooks, books, videos and other mission-related educational materials. In return, the organization typically receives royalties.
- **Certification:** A relationship where an organization recognizes that a particular product or service of a company complies with or satisfies an applicable or relevant organization standard. Generally, exclusive certifications should be avoided.

May 7, 2009 Board adopted Revision Two: Incorporate CHADD practice since 2001 into policy 5.2 to comply with NHC standard on public disclosure of financial supports.

NHC standard:

The organization must, unless otherwise prohibited by law, disclose financial support it receives as a result of corporate relationships. For purposes of this standard, financial support includes money transferred for events and program activities, irrespective of whether the corporation treats such support as a charitable donation or business expense for purposes of its filings with the Internal Revenue Service. However, fee-for-service transactions, such as advertising, subscription and publications sales, or exhibitor fees and meeting registrations need not be disclosed.

At a minimum, organizations must disclose amounts received from corporations identified on Schedule B of their Form 990 (more than the greater of \$5,000 or 2% of the total amount of contributions reported on line 1e of Part 1 of Form 990 (2007), line 1 h of Part VIII of Form 990 (2008)). The disclosure information should be posted on the organization's website in an easily accessible location within six months of the close of the organization's fiscal year. Disclosure should include the name of the corporation and the aggregate amount of support provided by that corporation. Such disclosure may be reported in ranges, e.g. \$0 - \$50,000; \$50,001 - \$100,000, etc. Disclosure should also include the total amount of all corporate support received from all corporations.

CHADD Practices Incorporated into policy 5.2:

Since 2001, CHADD has posted on our web site its aggregate grant-donation revenue received from pharmaceutical companies. We post the aggregate money amount, its composition as a percent of total revenue, and the companies donating.



In November 2008, at the board's instruction, we added the amount and corresponding percent of all financial support from pharmaceutical companies – sales, such as the bulk purchase of Attention magazine. This practice is beyond the NHC standard.

In April 2009 CHADD's public statement was expanded to include project areas, amounts of funds from pharmaceutical companies by project area, and the companies supporting these project clusters. This practice is beyond the NHC standard.

CHADD CORPORATE FUNDING, JANUARY 2006 TO 2009

YEAR 2006	COMPANY	AMOUNT OF FUNDING	REASONS THE FUNDING WAS PROVIDED
	McNeil Pediatrics Division of McNeil PPC, Inc.	\$67,000	CHADD Annual International Conference on AD/HD
		\$40,000	CHADD Corporate Membership
		\$100,000	CHADD Education Initiative on AD/HD
		\$15,000	CHADD Young Scientist Research Fund
		\$35,000	CHADD Membership and Chapter Services Dept. programs
		\$4,800	CHADD Exhibits at National Nonprofit Meetings
		\$50,000	CHADD Community Forums on AD/HD
	Shire US Inc.	\$130,000	CHADD Annual International Conference on AD/HD
		\$60,000	CHADD Educator's Manual on AD/HD
		\$25,000	CHADD Education Initiative on AD/HD
		\$50,000	CHADD Public Education and Outreach including Parent to Parent: Family Training on AD/HD
		\$432,000 (Purchases)	CHADD Attention Magazine Bulk Purchases
	Eli Lilly and Company	\$60,000	CHADD Annual International Conference on AD/HD
		\$100,000	CHADD Education Initiative on AD/HD
		\$115,000	CHADD Public Education and Outreach including Membership and Chapter Dept. support and Parent to Parent: Family Training on AD/HD
	Eli Lilly and Company Foundation	\$200,000	CHADD Educator's Manual on AD/HD/Teacher to Teacher Training Program
	Novartis Pharmaceuticals Corporation	\$80,000	CHADD Education Initiative on AD/HD
	UCB Pharma Inc.	\$55,000	CHADD Annual International Conference on AD/HD
		\$90,000	CHADD Regional Conferences on AD/HD
		\$35,000	CHADD Summer Camp Awards Program
		\$75,000	CHADD Education Initiative on AD/HD
		\$65,000	CHADD Educator's Manual on AD/HD
		\$70,000	CHADD Public Education and Outreach including Parent to Parent: Family Training on AD/HD
		\$382,800 (Purchases)	CHADD Attention Magazine Bulk Purchases
	Cephalon	\$10,000	CHADD Education Initiative on AD/HD
		\$5,000	CHADD Annual International Conference on AD/HD
	New River Pharmaceuticals	\$5,000	CHADD General Donation

CHADD CORPORATE FUNDING, JANUARY 2006 TO 2009

YEAR 2007	COMPANY	AMOUNT OF FUNDING	REASONS THE FUNDING WAS PROVIDED
	McNeil Pediatrics, Division of Ortho McNeil Janssen Pharmaceuticals, Inc.	\$70,000 \$25,000 \$40,000 \$100,000 \$15,000 \$50,000 \$8,000 \$50,000	CHADD Annual International Conference on AD/HD CHADD 20th Anniversary Dinner CHADD Corporate Membership CHADD Education Initiative on AD/HD CHADD Young Scientist Research Fund CHADD Membership and Chapter Services Programs CHADD Exhibits at National Nonprofit Meetings CHADD Community Forums on AD/HD
	Shire US Inc.	\$140,000	CHADD Annual International Conference on AD/HD
		\$50,000	CHADD 20th Anniversary Dinner
		\$50,000	CHADD Education Initiative on AD/HD
		\$90,000	CHADD Parent to Parent: Family Training on AD/HD
		\$25,000	CHADD General Fund Donation
		\$360,000 (Purchases)	CHADD Attention Magazine Bulk Purchases
	Eli Lilly and Company	\$28,000	CHADD Annual International Conference on AD/HD
		\$25,000	CHADD 20th Anniversary Dinner
		\$100,000	CHADD Education Initiative on AD/HD
		\$125,000	CHADD Public Education and Outreach, Membership and Chapter Support, and Parent to Parent: Family Training on AD/HD
	Novartis Pharmaceuticals Corporation	\$45,000	CHADD Annual International Conference on AD/HD
		\$10,000	CHADD 20th Anniversary Dinner
	UCB Pharma Inc.	\$55,000	CHADD Annual International Conference on AD/HD
		\$4,950	CHADD 20th Anniversary Dinner
		\$95,000	CHADD Regional Conferences on AD/HD
		\$35,000	CHADD Summer Camp Awards Program
		\$25,000	CHADD Education Initiative on AD/HD
		\$10,000	CHADD Educator's Manual on AD/HD
		\$30,000	CHADD Parent to Parent: Family Training on AD/HD
		\$40,000	CHADD Public Education and Outreach

CHADD CORPORATE FUNDING, JANUARY 2006 TO 2009

[illegible]

CHADD CORPORATE FUNDING, JANUARY 2006 TO 2009

YEAR 2009	COMPANY	AMOUNT OF FUNDING	REASONS THE FUNDING WAS PROVIDED
	McNeil Pediatrics Division of Ortho McNeil Janssen Pharmaceuticals, Inc.	\$50,000	CHADD Annual International Conference on AD/HD
		\$15,000	CHADD Summer Camp Awards Program
		\$30,000	CHADD Membership and Chapter Services Programs
		\$35,000	CHADD Parent to Parent: Family Training on AD/HD
		\$35,000	CHADD Education Conference on AD/HD
		\$15,000	CHADD Young Scientist Research Fund
		\$100,000	CHADD Education Initiative on AD/HD
		\$50,000	CHADD Corporate Membership
		\$50,000	CHADD Community Forums on AD/HD
	Shire US Inc.	\$145,000	CHADD Annual International Conference on AD/HD
		\$25,000	CHADD Education Initiative on AD/HD
		\$90,000	CHADD Parent to Parent: Family Training on AD/HD
		\$25,000	CHADD Advocacy Program
		\$30,000	CHADD Corporate Partnership
		\$35,000	CHADD Education Conference on AD/HD
		\$443,000 (Purchases)	CHADD Attention Magazine Bulk Purchases
	Eli Lilly and Company	\$25,000	CHADD Annual International Conference on AD/HD
		\$50,000	CHADD Education Initiative on AD/HD
		\$25,000	CHADD Education Conference on AD/HD
		\$20,000	CHADD Membership and Chapter Services Programs
		\$10,000	CHADD Public Education Events
	Novartis Pharmaceuticals Corporation	\$45,000	CHADD Annual International Conference on AD/HD
		\$45,000	CHADD Education Initiative on AD/HD
		\$5,000	CHADD Summer Camp Awards Program
	Abbott Laboratories	\$10,000	CHADD Educator's Manual/Teacher to Teacher Training Program on AD/HD
	(CHADD FY July 1 to June 30)		

CHADD's Income and Expenditures (2008-2009)

CHADD is a family membership organization with 12,000 members organized through almost 200 community groups in 41 states, Puerto Rico, the Virgin Islands, and the District of Columbia. Our web site, www.chadd.org, provides an overview of the information and services we provide as well as a list of our chapters.

As of June 30, 2009, the composition of the budget was: Annual Conference, 19.0%; Sales of Products, 16.3%; Members Dues and Public Donations, 16.2%; Federal Government Agreement, 21.9%. Total pharmaceutical donation support of CHADD as of June 30, 2009 was 26.6% (\$1,174,626). This ratio of multiple funding sources is typical of national voluntary health agencies in America. 57.2 % of sales and advertising (\$412,500) was derived from pharmaceutical companies. Thus, total pharmaceutical support in any manner was 35.9% of total revenue.

CHADD recognizes that membership and support of members through local chapters is a key factor in assisting and advocating for persons with AD/HD.

CHADD operates under a statement of "Ethical Principles for Acceptance of Support." An excerpt from that statement reads: "While CHADD is committed to achieving a diversified base of corporate support and actively seeks contributions from businesses and corporations with no direct financial interest in AD/HD, CHADD believes it is ethically sound to request business concerns that profit from AD/HD to devote a portion of those profits to support charitable endeavors that will benefit people with AD/HD. At the same time, CHADD is committed to avoiding a conflict of interest or even its appearance in accepting financial support from corporations with vested interests in how consumers, the health care community, and education professionals regard their products. To assure that conflicts of interest do not occur, CHADD's Board of Directors has determined that acceptance of substantial restricted or unrestricted gifts from commercial enterprises and foundations, and CHADD's subsequent relationship with these donors, shall be governed by a strict set of ethical principles that requires mutual agreement by CHADD and its donors." The complete statement is available from our web site, www.chadd.org.

A member of the National Health Council, CHADD is in "full-compliance" with the NHC Standards of Excellence for the entire voluntary health agency movement. Information on the National Health Council is available from www.nationalhealthcouncil.org. Beginning January 2007, CHADD is certified as meeting the Wise Giving Alliance standards of the Better Business Bureau (see www.give.org). CHADD was re-certified in January 2009.

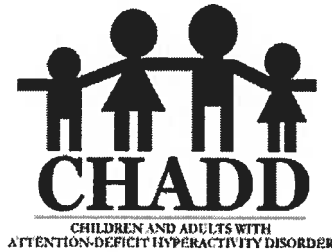
For the fiscal year July 1, 2008-June 30, 2009, 84.8% of CHADD's expenditures (\$3,793,759) went to program services with 11.2 % (\$499,626) going to management and general and 4.0 % (\$179,719) going to fundraising. 1.7% of CHADD's budget (\$78,049) is spent on "lobbying" activities as defined by the Internal Revenue Service.

Pharmaceutical donations received by CHADD as of June 30, 2009 included support from Eli Lilly, McNeil, Novartis, and Shire US.

Pharmaceutical company donations in 2008-2009 supported these programs:

Annual Conference (Non-CME): Eli Lilly, McNeil, Novartis, Shire
Educational Initiative on AD/HD: Eli Lilly, McNeil, Novartis, and Shire
Parent-to-Parent: Family Training on AD/HD: Shire
Community Forums Outreach: McNeil
Summer Camp Awards and Young Scientist Scholarship Awards: McNeil
Public Education and Outreach, including membership and chapter support: Eli Lilly, McNeil,
and Shire

[November 2009]



CHADD's Income and Expenditures (2007-2008)

CHADD is a family membership organization with 12,000 members organized through over 200 community groups in 41 states, Puerto Rico, the Virgin Islands, and the District of Columbia. Our website, www.chadd.org, provides an overview of the information and services we provide as well as a list of our chapters.

As of June 30, 2008, the composition of the budget was: Annual Conference, 11.9%; Sales of Products, 13.2%; Members Dues and Public Donations, 19.5%; Federal Government Agreement, 18.5%. Total pharmaceutical donation support of CHADD as of June 30, 2008 was 21.9% (\$1,205,000). This ratio of multiple funding sources is typical of national voluntary health agencies in America. 64% of sales and advertising (\$466,104) was derived from pharmaceutical companies. Thus, total pharmaceutical support in any manner was 30.37% of total revenue.

CHADD recognizes that membership and support of members through local chapters is a key factor in assisting and advocating for persons with AD/HD.

CHADD operates under a statement of "Ethical Principles for Acceptance of Support." An excerpt from that statement reads: "While CHADD is committed to achieving a diversified base of corporate support and actively seeks contributions from businesses and corporations with no direct financial interest in AD/HD, CHADD believes it is ethically sound to request business concerns that profit from AD/HD to devote a portion of those profits to support charitable endeavors that will benefit people with AD/HD. At the same time, CHADD is committed to avoiding a conflict of interest or even its appearance in accepting financial support from corporations with vested interests in how consumers, the health care community, and education professionals regard their products. To assure that conflicts of interest do not occur, CHADD's Board of Directors has determined that acceptance of substantial restricted or unrestricted gifts from commercial enterprises and foundations, and CHADD's subsequent relationship with these donors, shall be governed by a strict set of ethical principles that requires mutual agreement by CHADD and its donors." The complete statement is available from our website, www.chadd.org.

A member of the National Health Council, CHADD is in "full compliance" with the NHC Standards of Excellence for the entire voluntary health agency movement. Information on the National Health Council is available from www.nationalhealthcouncil.org. As of January 2007, CHADD is certified as meeting the Wise Giving Alliance standards of the Better Business Bureau (see www.give.org).

For the fiscal year July 1, 2007-June 30, 2008, 83.2% of CHADD's expenditures (\$4,209,641) went to program services with 9.4 % (\$474,572) going to management and general and 7.4 % (\$374,209) going to fundraising. 2% of CHADD's budget (\$102,579) is spent on "lobbying" activities as defined by the Internal Revenue Service.

Pharmaceutical donations received by CHADD as of June 30, 2008 included support from Eli Lilly, McNeil, Novartis, Shire US, and UCB.

Pharmaceutical company donations in fiscal year 2008 support these programs:

Annual Conference (Non-CME): Eli Lilly, McNeil, Novartis, Shire, and UCB: \$330,000

Educational Initiative on AD/HD: Eli Lilly, McNeil, Novartis, and Shire: \$300,000

Parent-to-Parent: Family Training on AD/HD: Shire: \$90,000

20th Anniversary Gala: Eli Lilly, McNeil, Novartis, Shire, and UCB: \$114,950

Community Forums Outreach: McNeil: \$50,000

Summer Camp Awards and Young Scientist Scholarship Awards: McNeil: \$50,000

Public Education and Outreach, including membership and chapter support: Eli Lilly, McNeil, and Shire: \$265,000

[November 2008, updated April 2009]

CHADD's Income and Expenditures (2006-2007)

CHADD is a family membership organization with 14,000 members organized through over 200 community groups in 41 states, Puerto Rico, the Virgin Islands, and the District of Columbia. Our web site, www.chadd.org, provides an overview of the information and services we provide as well as a list of our chapters.

As of June 30, 2007, the composition of the budget was: Foundation Grants and Donations, 1% (\$45,100); Annual Conference, 10.25%; Sales of Products, 15.3%; Members Dues and Public Donations, 19.45%; Federal Government Agreement, 23.7%. Total pharmaceutical donation support of CHADD as of June 30, 2007 was 26.11% of CHADD's budget (\$1,169,000). This ratio of multiple funding sources is typical of national voluntary health agencies in America.

CHADD recognizes that membership and support of members through local chapters is a key factor in assisting and advocating for persons with AD/HD.

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A member of the National Health Council, CHADD is in "full-compliance" with the NHC Standards of Excellence for the entire voluntary health agency movement. Information on the National Health Council is available from www.nationalhealthcouncil.org. As of January 2007, CHADD is certified as meeting the Wise Giving Alliance standards of the Better Business Bureau (see www.give.org).

For the fiscal year July 1, 2006-June 30, 2007, 83.45% of CHADD's expenditures (\$4,075,817) went to program services with 9.78 % (\$477,980) going to management and general and 6.76 % (\$330,088) going to fundraising. Only 1.41 % of CHADD's budget (\$68,800) is spent on "lobbying" activities as defined by the Internal Revenue Service.

Pharmaceutical donations received by CHADD as of June 30, 2007 included support from Cephalon, Eli Lilly, McNeil, Novartis, Shire US, and UCB. Foundation donations received during this period included support from Chase Family, Capital Group Companies, Irving Gilmore, Klingenstein Third Generation Foundation, Manfield Charitable Fund, Pusey, R. Kelly and Linda Blumenthal, R. Perkins Charitable, and United States Golf Association Foundation. In-kind support was received from the Goggle Foundation.

[November 2007]



CHADD's Income and Expenditures (2005-2006)

CHADD is a family membership organization with 14,000 members organized through 200 community groups in 42 states, Puerto Rico, and the District of Columbia. Our web site, www.chadd.org, provides an overview of the information and services we provide as well as a list of our chapters.

As of June 30, 2006, the composition of the budget was: Sales of Products, 21.75%; Members Dues and Public Donations, 20.33%; Federal Government Agreement, 20.12%; Annual Conference, 7.1%; and Foundation Grants and Donations, 2.7% (\$132,250). Total pharmaceutical donation support of CHADD as of June 30, 2006 was 28% of CHADD's budget (\$1,401,000). This ratio of multiple funding sources is typical of national voluntary health agencies in America.

CHADD recognizes that membership and support of members through local chapters is a key factor in assisting and advocating for persons with AD/HD.

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A member of the National Health Council, CHADD is in "full-compliance" with the NHC Standards of Excellence for the entire voluntary health agency movement. Information on the National Health Council is available from www.nationalhealthcouncil.org. As of January 2007, CHADD is certified as meeting the Wise Giving Alliance standards of the Better Business Bureau (see www.give.org).

For the fiscal year July 1, 2005-June 30, 2006, 83% of CHADD's expenditures (\$3,667,262) went to program services with 10.22 % (\$451,794) going to management and general and 6.8 % (\$301,310) going to fundraising. Only 1.1 % of CHADD's budget (\$52,279) is spent on "lobbying" activities as defined by the Internal Revenue Service.

Pharmaceutical donations received by CHADD as of June 30, 2006 included support from Cephalon, Lilly, McNeil, New River, Novartis, Shire, and UCB. Foundation donations received during this period included support from Irving S. Gilmore Foundation, Horace W. Goldsmith Foundation, Eli Lilly and Co. Foundation, Jack and Jill of America, Inc., Tom and Amy Methvin Foundation, Perkins Family Trust, and Paul H. Pusey Foundation.

[January 2007]

CHADD's Income and Expenditures (2004-2005)

CHADD is a family membership organization with 15,000 members organized through 201 chapters in 42 states, Puerto Rico, and the District of Columbia. Our web site, www.chadd.org, provides an overview of the information and services we provide as well as a list of our chapters.

As of June 30, 2005, the composition of the budget was: members dues and contributions: 27%; annual conference revenue: 9.6%; revenue from publications and related educational products and information: 18.3%; federal government support, 20.3%; and non-governmental and non-pharmaceutical grants and donations: 1%. Total pharmaceutical donation support of CHADD (\$1,035,000) as of June 30, 2005 was 22 % of CHADD's budget. This ratio of multiple funding sources is typical of national voluntary health agencies in America.

CHADD recognizes that membership and support of members through local chapters is a key factor in assisting and advocating for persons with AD/HD.

CHADD operates under a statement of "Ethical Principles for Acceptance of Support." An excerpt from that statement reads: "While CHADD is committed to achieving a diversified base of corporate support and actively seeks contributions from businesses and corporations with no direct financial interest in AD/HD, CHADD believes it is ethically sound to request business concerns that profit from AD/HD to devote a portion of those profits to support charitable endeavors that will benefit people with AD/HD. At the same time, CHADD is committed to avoiding a conflict of interest or even its appearance in accepting financial support from corporations with vested interests in how consumers, the health care community, and education professionals regard their products. To assure that conflicts of interest do not occur, CHADD's Board of Directors has determined that acceptance of substantial restricted or unrestricted gifts from commercial enterprises and foundations, and CHADD's subsequent relationship with these donors, shall be governed by a strict set of ethical principles that requires mutual agreement by CHADD and its donors." The complete statement is available from our web site, www.chadd.org.

A member of the National Health Council, CHADD is in "full-compliance" with the NHC Good Operating Practices standards for the entire voluntary health agency movement. Information on the National Health Council is available from www.nationalhealthcouncil.org.

For the fiscal year July 1, 2004-June 30, 2005, 84.1% of CHADD's expenditures (\$3,564,339) went to program services with 11.1 % (\$469,968) going to management and general and 4.8 % (\$203,486) going to fundraising. Only 1.2 % of CHADD's budget (\$48,807) is spent on "lobbying" activities as defined by the Internal Revenue Service.

Pharmaceutical donations received by CHADD as of June 30, 2005 included support from Cephalon, Lilly, McNeil, Novartis, Pfizer, Shire, and UCB Pharma. [November 2005]

CHADD's Income and Expenditures (2003-2004)

CHADD is a family membership organization with over 16,000 members organized through 212 chapters in 45 states and Puerto Rico. Our web site, www.chadd.org, provides an overview of the information and services we provide as well as a list of our chapters.

As of June 30, 2004, the composition of the budget was: members dues and contributions: 28%; annual conference revenue: 9%; revenue from publications and related educational products and information: 16%; federal government support, 24%; and non-governmental and non-pharmaceutical grants and donations: 1%. Total pharmaceutical donation support of CHADD (\$934,408) as of June 30, 2004 was 23% of CHADD's budget. This ratio of multiple funding sources is typical of national voluntary health agencies in America.

CHADD recognizes that membership and support of members through local chapters is a key factor in assisting and advocating for persons with AD/HD.

CHADD operates under a statement of "Ethical Principles for Acceptance of Support." An excerpt from that statement reads: "While CHADD is committed to achieving a diversified base of corporate support and actively seeks contributions from businesses and corporations with no direct financial interest in AD/HD, CHADD believes it is ethically sound to request business concerns that profit from AD/HD to devote a portion of those profits to support charitable endeavors that will benefit people with AD/HD. At the same time, CHADD is committed to avoiding a conflict of interest or even its appearance in accepting financial support from corporations with vested interests in how consumers, the health care community, and education professionals regard their products. To assure that conflicts of interest do not occur, CHADD's Board of Directors has determined that acceptance of substantial restricted or unrestricted gifts from commercial enterprises and foundations, and CHADD's subsequent relationship with these donors, shall be governed by a strict set of ethical principles that requires mutual agreement by CHADD and its donors." The complete statement is available from our web site, www.chadd.org.

A member of the National Health Council, CHADD is in "full-compliance" with the NHC Good Operating Practices standards for the entire voluntary health agency movement. Information on the National Health Council is available from www.nationalhealthcouncil.org.

For the fiscal year July 1, 2003-June 30, 2004, 84.8 % of CHADD's expenditures (\$3,314,302) went to program services with 10.7 % (\$419,675) going to management and general and 4.4% (\$173,684) going to fundraising. Only 1.4% of CHADD's budget (\$54,697) is spent on "lobbying" activities as defined by the Internal Revenue Service.

Pharmaceutical donations received by CHADD as of June 30, 2004 included support from Cell Tech, Cephalon, Janssen, Lilly, McNeil, Novartis, Pfizer, and Shire.

CHADD's Income and Expenditures (2002-2003)

CHADD is a family membership organization with 18,000 members organized through 191 chapters in 45 states and Puerto Rico. Our web site, www.chadd.org provides an overview of the information and services we provide as well as a list of our chapters.

As of June 30, 2003, the composition of the budget was: members dues and contributions: 30%; annual conference revenue: 9%; revenue from publications and related educational products and information: 16%; federal government support, 18.8%; and non-governmental and non-pharmaceutical grants and donations: 8.9%. Total pharmaceutical donation support of CHADD (\$674,000) as of June 30, 2003 was 17.3 % of CHADD's budget. This ratio of multiple funding sources is typical of national voluntary health agencies in America.

CHADD recognizes that membership and support of members through local chapters is a key factor in assisting and advocating for persons with AD/HD.

CHADD operates under a statement of "Ethical Principles for Acceptance of Support." An excerpt from that statement reads: "While CHADD is committed to achieving a diversified base of corporate support and actively seeks contributions from businesses and corporations with no direct financial interest in AD/HD, CHADD believes it is ethically sound to request business concerns that profit from AD/HD to devote a portion of those profits to support charitable endeavors that will benefit people with AD/HD. At the same time, CHADD is committed to avoiding a conflict of interest or even its appearance in accepting financial support from corporations with vested interests in how consumers, the health care community, and education professionals regard their products. To assure that conflicts of interest do not occur, CHADD's Board of Directors has determined that acceptance of substantial restricted or unrestricted gifts from commercial enterprises and foundations, and CHADD's subsequent relationship with these donors, shall be governed by a strict set of ethical principles that requires mutual agreement by CHADD and its donors." The complete statement is available from our web site, www.chadd.org.

A member of the National Health Council, CHADD is in "full-compliance" with the NHC good operating practices for the entire voluntary health agency movement. Information on the National Health Council is available from www.nationalhealthcouncil.org

For the fiscal year July 1, 2002-June 30, 2003, 83.56% of CHADD's expenditures (\$2,976,648) went to program services with 11.06% (\$394,163) going to management and general and 5.38% (\$191,587) going to fundraising. Only 1.78% of CHADD's budget is spent on "lobbying" activities as defined by the Internal Revenue Service.

Pharmaceutical donations received by CHADD as of June 30, 2003 included support from Cell Tech, Janssen, Lilly, McNeil, Novartis, and Shire.
December 2003

CHADD's Income and Expenditures (2001-2002)

CHADD is a family membership organization with 20,000 members organized through 235 chapters in 45 states and Puerto Rico. Our web site, www.chadd.org, provides an overview of the information and services we provide as well as a list of our chapters.

With a significant federal government \$750,000 grant in August 2002, CHADD operates with a \$3.935 million annual budget. As of August, 2002, the composition of the budget was: members dues and contributions: 30%; annual conference revenue: 19%; revenue from publications and related educational products and information: 7%; federal government support, 19%; and non-governmental and non-pharmaceutical grants and donations: 7%. Total pharmaceutical financial support of CHADD (\$507,000) as of June 30, 2002 was 17.4% of CHADD's budget. This ratio of multiple funding sources is typical of national voluntary health agencies in America.

CHADD recognizes that membership and support of members through local chapters is a key factor in assisting and advocating for persons with AD/HD.

CHADD operates under a statement of "Ethical Principles for Acceptance of Support." An excerpt from that statement reads: "While CHADD is committed to achieving a diversified base of corporate support and actively seeks contributions from businesses and corporations with no direct financial interest in AD/HD, CHADD believes it is ethically sound to request business concerns that profit from AD/HD to devote a portion of those profits to support charitable endeavors that will benefit people with AD/HD. At the same time, CHADD is committed to avoiding a conflict of interest or even its appearance in accepting financial support from corporations with vested interests in how consumers, the health care community, and education professionals regard their products. To assure that conflicts of interest do not occur, CHADD's Board of Directors has determined that acceptance of substantial restricted or unrestricted gifts from commercial enterprises and foundations, and CHADD's subsequent relationship with these donors, shall be governed by a strict set of ethical principles that requires mutual agreement by CHADD and its donors." The complete statement is available from our web site, www.chadd.org.

As a member of the National Health Council, CHADD complies with the good operating practices for the entire voluntary health agency movement. Information on the National Health Council is available from www.nationalhealthcouncil.org.

For the fiscal year July 1, 2001-June 30, 2002, 79.7% of CHADD's expenditures (\$2,467,660) went to program services with 13.7% (\$424,323) going to management and general and 6.58% (\$203,655) going to fundraising. Only 1.88% of CHADD's budget is spent on "lobbying" activities as defined by the Internal Revenue Service.

Pharmaceutical donations received by CHADD as of June 30, 2002 included support from Cell Tech, Lilly, McNeil, Novartis, and Shire. February 2003



CHADD CONFLICT OF INTEREST POLICY

I. STATEMENT OF POLICY

- A. No officer, Board member or Professional Advisory Board member shall allow his or her personal, financial, business or other concerns of an organizational decision-maker to influence any organizational decision in a manner not related to the organization's best interests.
- B. No officer, Board member or Professional Advisory Board member shall use his or her position, or the knowledge gained therefrom, in such a manner that a conflict between the interest of the organization or any of its affiliates and his/or her personal interests arises.
- C. No officer, Board member or Professional Advisory Board member shall act in a manner in which his or her actions give the appearance of exercising inappropriate influence for the purpose of advancing his or her own personal interests or financial, business or personal gain.
- D. No officer, Board member or Professional Advisory Board member may obtain for themselves, their relatives, or their friends a material interest of any kind from their association with an organization.
- E. If an officer, Board member or Professional Advisory Board member has an interest in a proposed transaction with the organization in the form of a significant personal financial interest in the transaction or in any organization involved in the transaction, or holds a position as a trustee, director or officer in any such organization, he or she must make full disclosure of such interest before any discussion or negotiation of such transaction.
- F. Any officer, Board member or Professional Advisory Board member who is aware of a potential conflict of interest with respect to any matter coming before the Board or committee shall recuse himself or herself from voting on such matters and, as appropriate, refrain from participation in relevant portion of the meeting.
- G. At all times officers, Board members and Professional Advisory Board members shall take steps to avoid even the appearance of a conflict of interest.

II. DISCLOSURE AND OVERSIGHT

- A. Officers, Board members and Professional Advisory Board members of the organization will submit annually a disclosure form and, if not previously disclosed, will make disclosure of actual or potential conflicts of interest before any relevant Board or committee action.
- B. These reports will be reviewed by the Executive Committee, which will attempt to resolve any actual or potential conflict(s) and, in the absence of such resolution, refer the matter to the Board of Directors.

**INDIVIDUAL CONFLICT OF INTEREST DISCLOSURE STATEMENT**

I have read the statement of policy regarding conflicts of interest. As a part of the duty of loyalty to CHADD, I am herewith disclosing my interests with, respect to my service as a member of _____ division of CHADD).

1. Disclosure of Financial Relationships.

- a) Have you or any related parties had any material interest, direct or indirect, in any transaction since July 1, 2003, to which the organization was or is to be a party?

☐ No☐ Yes

(If yes, describe the relationship, including total billings, fees and reimbursement of out-of-pocket expenses on a separate piece of paper.)

- b) Since July 1, 1999, have you or any related parties been indebted to the organization?

☐ No☐ Yes

(If yes, describe the relationship, excluding amounts due for ordinary travel and expense advances, and for outstanding pledges on a separate sheet of paper.)

2. Disclosure of Personal, Business, Family Relationships

- a) To the best of my knowledge and belief, except as disclosed herewith, neither I nor any person with whom I have or had a personal, business, or family relationship, is engaged in any transaction or activity or has any relationship that may represent a potential competing or conflicting interest, as defined in the statement of policy.

_____ Without exception

_____ Except as described in the attached statement

- b) To the best of my knowledge and belief, except as disclosed herewith, neither I nor any person with whom I have or had a personal, business, or family relationship is engaged in any transaction or activity or has any relationship that may give an appearance of inappropriate competing or conflicting interest, as defined in the statement of policy.

_____ Without exception

_____ Except as described in the attached statement

These statements are true, and to the best of my knowledge and belief, I have disclosed all of my interests relevant to the fulfillment of my duty of loyalty as a member of _____ (division of CHADD).

If any interest should arise in the time intervening the annual submission of this document, I agree to disclose it in writing and submit it in a timely manner to the CHADD leadership and CHADD National Office.

Signature: _____ Date: _____



HONORARIUM GUIDELINES

I. OBJECTIVE

CHADD is staffed by both paid staff and volunteers. Periodically, CHADD is asked to provide a representative to attend or present at a conference or seminar. This policy will assist in determining how to conduct business with an honorarium.

II. DEFINITIONS

- A. Honorarium – compensation for the time of a person engaged in an activity, whether such payment is for speaker fees, contractor fees, or consulting fees.

III. ACCEPTANCE OF HONORARIA

- A. Either a volunteer board member or a paid staff person may be eligible to receive an honorarium in the name of CHADD resulting from representing the organization at an invited event.
- B. Honoraria checks will be turned over to CHADD when it is received by a paid staff member for activities that fall within reasonable expectations of one's job. Payment of travel expenses may be the justification for the honorarium.
- C. An honorarium paid to a volunteer should be made payable to CHADD and turned over to the organization for normal processing. An exception to this practice is participation in an invited activity that causes the loss of monetary compensation from a paid job. Exceptions to this policy will be approved by CHADD's Executive Committee in advance of participation. Any travel expenses for which reimbursement is sought must be filed on the appropriate reimbursement forms.
- D. Exceptions to the acceptance of honoraria can be made. Requests for an exception should be directed to the President or his/her designee(s) for discussion/approval by CHADD's Executive Committee.
- E. Honoraria coming from organizations having no relationship with CHADD can be accepted by either a board member or paid staff person when the policy positions held within CHADD are not areas of CHADD involvement.



Requests for Marketing Research Involving CHADD Affiliate Leadership

Periodically CHADD receives requests from our Corporate funders to elicit family or adult input on a variety of issues including advocacy, educational information on AD/HD, advertising, and physician education. We also receive requests to pass information on to our affiliate leadership and have done so as long as it does not include information on a particular treatment or product. CHADD is willing to pass on these requests to our affiliate leadership when:

- 1. The request would further CHADD's mission by promoting consumer input into services, education and treatment for AD/HD.*
- 2. The request is from a CHADD Corporate Funder.*
- 3. The activity does not imply an endorsement by CHADD of any product, service, publication, medication or treatment.*
- 4. The request is always forwarded with a disclaimer: "This is not a CHADD endorsed activity but is provided to you as a courtesy. CHADD does not endorse any product, service, publication, medication or treatment. If you would like to be involved, please get in touch with the contact person directly."*
- 5. The national office staff gives guidance regarding any further dissemination of the information.*

Request to Advertise-Link to Pharmaceutical Corporation Web Sites

CHADD practice as of July 2008

1. CHADD does not distribute company press releases and does not reprint them in our magazine.
2. CHADD posts all relevant FDA news on our web site (www.help4adhd.org). Does FDA recognize/link to their program? If yes, we can add this additional link.
3. CHADD gladly accepts any pharmaceutical company linking to CHADD web sites.
4. The NRC has a page on “Paying for Medications” at <http://www.help4adhd.org/en/systems/insurance/medassist>. There is a link from that page to program run by PhRMA called “Partnership for Prescription Assistance.”
5. Pharmaceutical companies may be listed on our professional directory (www.chadd.org), then Finding Support, Business-Organization-Institutions, Pharmaceutical Company.
6. We are enhancing our www.chadd.org “Understanding AD/HD” section. After the “treatment” discussion, we will insert a link to our professional directory.
7. CHADD is happy to inform our chapter coordinators of new resources. We frequently e-mail them.
8. If a company wants to reach 55,000 readers, we encourage them to advertise in *Attention* magazine.