

SENATE FINANCE COMMITTEE STATEMENT OF INFORMATION REQUESTED OF NOMINEE

The Committee requests the nominee provide the following information in a single written statement by typing each question in full followed by the nominee's response. Please provide **three** copies of your typed statement to Carla Martin, Chief Clerk, 219 Dirksen Senate Office Building, Washington, D.C. 20510.

A. BIOGRAPHICAL INFORMATION

1. Name: (Include any former names used.)
2. Position to which nominated:
3. Date of nomination:
4. Address: (List current residence, office, and mailing addresses.)
5. Date and place of birth:
6. Marital status: (Include maiden name of wife or husband's name.)
7. Names and ages of children:
8. Education: (List secondary and higher education institutions, dates attended, degree received, and date degree granted.)
9. Employment record: (List all jobs held since college, including the title or description of job, name of employer, location of work, and dates of employment.)
10. Government experience: (List any advisory, consultative, honorary, or other part-time service or positions with Federal, State or local governments, other than those listed above.)
11. Business relationships: (List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, other business enterprise, or educational or other institution.)
12. Memberships: (List all memberships and offices held in professional, fraternal, scholarly, civic, business, charitable, and other organizations.)

13. Political affiliations and activities:
 - a. List all public offices for which you have been a candidate.
 - b. List all memberships and offices held in and services rendered to all political parties or election committees during the last 10 years.
 - c. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$50 or more for the past 10 years.
14. Honors and Awards: (List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognitions for outstanding service or achievement.)
15. Published writings: (List the titles, publishers, and dates of all books, articles, reports, or other published materials you have written.)
16. Speeches: (List all formal speeches you have delivered during the past five years which are on topics relevant to the position for which you have been nominated. Provide the Committee with **two** copies of each formal speech.)
17. Qualifications: (State what, in your opinion, qualifies you to serve in the position to which you have been nominated.)

B. FUTURE EMPLOYMENT RELATIONSHIPS

1. Will you sever all connections with your present employers, business firms, associations, or organizations if you are confirmed by the Senate? If not, provide details.
2. Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the government? If so, provide details.
3. Has any person or entity made a commitment or agreement to employ your services in any capacity after you leave government service? If so, provide details.
4. If you are confirmed by the Senate, do you expect to serve out your full term or until the next Presidential election, whichever is applicable? If not, explain.

C. POTENTIAL CONFLICTS OF INTEREST

1. Indicate any investments, obligations, liabilities, or other relationships which

could involve potential conflicts of interest in the position to which you have been nominated.

2. Describe any business relationship, dealing or financial transaction which you have had during the last 10 years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.
3. Describe any activity during the past 10 years in which you have engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy. Activities performed as an employee of the Federal government need not be listed.
4. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items. (Provide the Committee with **two** copies of any trust or other agreements.)
5. **Two** copies of written opinions should be provided directly to the Committee by the designated agency ethics officer of the agency to which you have been nominated and by the Office of Government Ethics concerning potential conflicts of interest or any legal impediments to your serving in this position.
6. The following information is to be provided only by nominees to the positions of United States Trade Representative and Deputy United States Trade Representative:

Have you ever represented, advised, or otherwise aided a foreign government or a foreign political organization with respect to any international trade matter? If so, provide the name of the foreign entity, a description of the work performed (including any work you supervised), the time frame of the work (e.g., March to December 1995), and the number of hours spent on the representation.

D. LEGAL AND OTHER MATTERS

1. Have you ever been the subject of a complaint or been investigated, disciplined, or otherwise cited for a breach of ethics for unprofessional conduct before any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, provide details.
2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority for a violation of any Federal, State, county or municipal law, regulation, or ordinance, other than a minor traffic offense? If so, provide details.

3. Have you ever been involved as a party in interest in any administrative agency proceeding or civil litigation? If so, provide details.
4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, provide details.
5. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be considered in connection with your nomination.

E. TESTIFYING BEFORE CONGRESS

1. If you are confirmed by the Senate, are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?
2. If you are confirmed by the Senate, are you willing to provide such information as is requested by such committees?

F. FINANCIAL DATA

All information requested under this heading must be provided for yourself, your spouse, and your dependents. (This information will not be published in the record of the hearing on your nomination, but it will be retained in the Committee's files.)

1. Provide a complete and current financial net worth statement which itemizes in detail:
 - a. The identity and value of all assets held, directly or indirectly, with a value in excess of \$1,000. This itemization should include, but not be limited to, bank accounts, securities, commodities futures, real estate, trusts, investments, and other personal property held in a trade or business or for investment. Household furnishings, personal effects, clothing, and automobiles need not be reported.
 - b. The identity and amount of each liability owed, directly or indirectly, which is in excess of \$1,000. This itemization should include, but not be limited to, debts, mortgages, loans, and other financial obligations for which you, your spouse, or your dependents have a direct liability or which may be guaranteed by yourself, your spouse, or your dependents. In identifying each such liability, indicate the nature of the liability, the amount, the name of the creditor, the terms of payment, the security or collateral, and the current status of the debt repayment.

2. Provide a list of all other liabilities of \$10,000 or more owed, directly or indirectly, at any time during the last 12 months. Identify the nature of each liability, the amount, the name of the creditor, the terms of payment, the security or collateral, and the date of the debt repayment.
3. Provide a list and the amounts of all transactions in securities, commodities futures, real estate, or other investments, having a value of \$10,000 or more, which have taken place within the last 12 months.
4. Describe the terms of any beneficial trust or blind trust of which you, your spouse, or your dependents may be a beneficiary. In the case of a blind trust, provide the name of the trustee(s) and **two** copies of the trust agreement.
5. Provide a description of any fiduciary responsibility or power of attorney which you hold for or on behalf of any other person.
6. Provide a list of the sources and the amounts of all items of value in an amount exceeding \$1,000 per source received during the period subsequent to the tax returns provided to the Committee. This list should include, but not be limited to, salaries, wages, fees, dividends, capital gains or losses, interest, gifts, rents, royalties, patents, and honoraria. Gifts received from members of your immediate family need not be listed.
7. Provide a list of the sources, amounts, and due dates of all anticipated receipts from deferred income arrangements, stock options, executory contracts, and other future benefits which you expect to derive from current or previous business relationships, professional services and firm memberships, employers, clients, and customers.
8. Have you ever been late in paying court-ordered child support? If so, provide details.
9. Have you filed all Federal, State, local, and other tax returns when due (including extensions) for each of the past 10 years? If not, provide details.
10. Have you paid all Federal, State, local, and other taxes when due (including extensions) for each of the past 10 years? If not, provide details.
11. Were all your Federal, State, local, and other tax returns and tax liabilities current (filed and paid) as of the date of your nomination. If not, provide details.
12. Have any of your Federal, State, local, or other tax returns for the past 10 years been audited by the appropriate tax authority? If so, what resulted from the audit(s)?

13. Have any Federal, State, local, or other tax liens been filed against you or against any real property or personal property which you own either individually, jointly, or in partnership? If so, provide details.
14. Provide the Committee with **two copies** of your Federal income tax returns for the past 3 years. (These tax returns will be made available only to Senators and staff designated by the Chairman and Ranking Member. They will not be available for public inspection.)

AFFIDAVIT

_____, being duly sworn, hereby states that he/she has read and signed the foregoing Statement of Information Requested of Nominee and that the information provided therein is, to the best of his/her knowledge, true, accurate, and complete.

Subscribed and sworn before me this _____ day of _____, 19____.

Notary Public

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